

# ***Water Treatment and Safe Storage***

EPFL, ENV 402: Sanitary Engineering in Developing Countries  
2025  
Loïc Fache

# Schedule

11.09	Intro to ENV 402, overview, SDGs, public health	J. MacArthur
18.09	Water treatment and safe storage	L. Fache
25.09	Water supply from source to consumer	M. Boller
02.10	Municipal solid waste management	D. Tosi
09.10	Sanitation systems and technologies Part 1	A. Narayan / P.Reymond
16.10	Sanitation systems and technologies Part 2	K. Coppens
23.10	Semester break	
30.10	Guest lecture: Innovations & WASH	Michael Kropac – Co-Director  Cewas
06.11	Group work	
13.11	WaSH in humanitarian settings	K. Gallandat
20.11	Becoming a WASH and Solid Waste Management Researcher – Stepping out of your context.	F. Suter / Velasquez L. / Peguero D.
27.11	Environmental sanitation planning	A. Narayan
04.12	Innovative Sanitation Planning in practice	A. Narayan
11.12	Group work Q+A (on-line: 10:15 – 11:00)	C. Lüthi
18.12	Mock exam, career prospects	C. Lüthi
15 Jan.	Final written exam	C. Lüthi

## A little about me

- Bsc & Msc Environmental engineering EPFL (2023)
- Scientific project manager in the water safety management group (Eawag-Sandec) (Feb 2024-present)
- Studying safe water supply, prevention against recontamination, and participatory processes for safe water management.

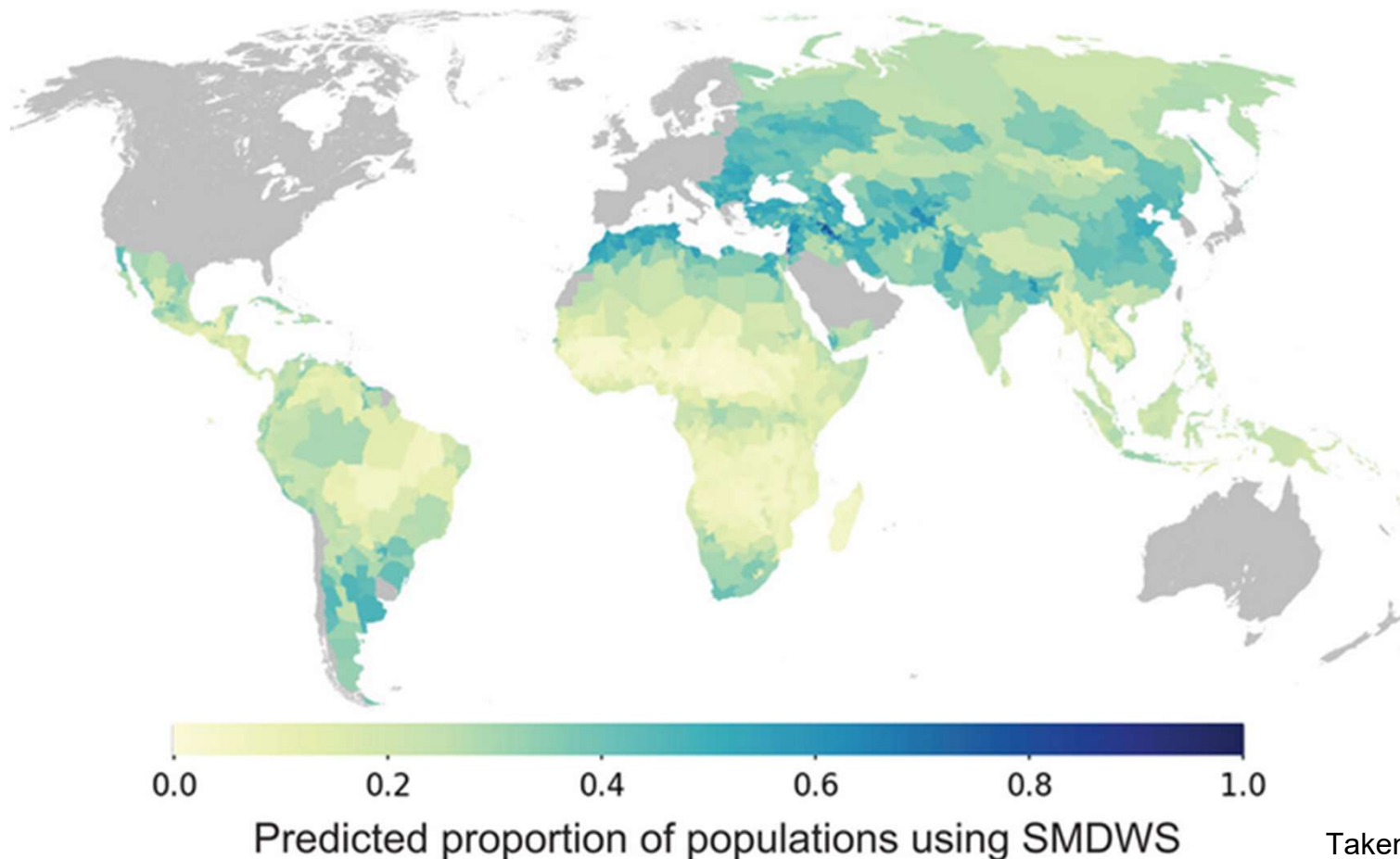


## Learning objectives

1. Understand what kind of strategies are required to provide sustainable access to safe water and support its consistent consumption in low and middle income areas
2. Understand the principles, strengths and limitations of water treatment and safe storage technologies that can be applied in this context
3. Know what kind of financial and business elements have to be considered for drinking water treatment at community scale (water kiosks) and at household scale (household water treatment)
4. Be aware of behavior change interventions required to increase demand for safe water

# Background

- 780 mio people without access to improved sources
- Large disparities between urban and rural, rich an poor
- Access to an improved source  $\neq$  access to safe water
- Billions of people without access to safe water. Latest estimate up to half of world population (Greenwood, 2024)



Taken from Greenwood, 2024

# Definitions : what is safe drinking water ?

## UN General Assembly resolution, July 2010

“*recognizes* the right to safe and clean drinking water and sanitation as a human right that is essential for the full enjoyment of life and all human rights.”

<b>Criterion</b>	<b>UN Human Rights Council 2010</b>
Sufficient quantity	Availability
Continuity of service	
Safe for health	Quality/safety
Aesthetically acceptable	Acceptability
Time/distance required to collect	Accessibility
Suitable for use by all, including young, old, disabled, etc	
Affordable	Affordability

# Definitions : what is safe drinking water ?

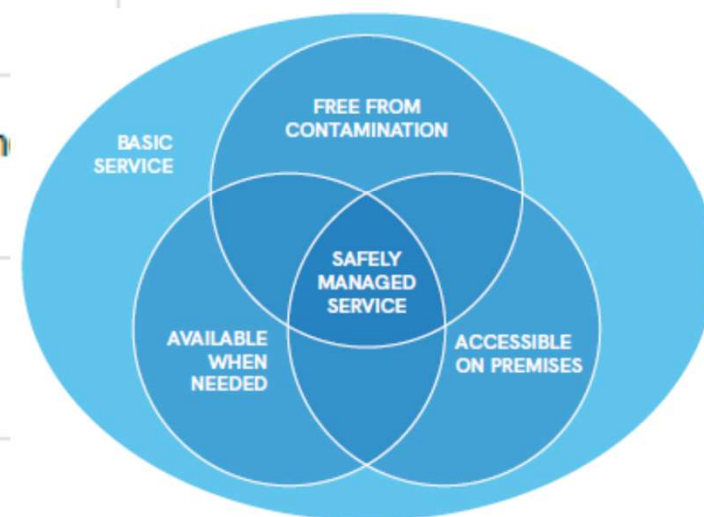
SERVICE LEVEL	DEFINITION
<b>SAFELY MANAGED</b>	Drinking water from an improved water source that is located on premises, available when needed and free from faecal and priority chemical contamination
<b>BASIC</b>	Drinking water from an improved source, provided collection time is not more than 30 minutes for a round trip, including queuing
<b>LIMITED</b>	Drinking water from an improved source for which collection time exceeds 30 minutes for a round trip, including queuing
<b>UNIMPROVED</b>	Drinking water from an unprotected dug well or unprotected spring
<b>SURFACE WATER</b>	Drinking water directly from a river, dam, lake, pond, stream, canal or irrigation canal

*Note: Improved sources include: piped water, boreholes or tubewells, protected dug wells, protected springs, rainwater, and packaged or delivered water.*

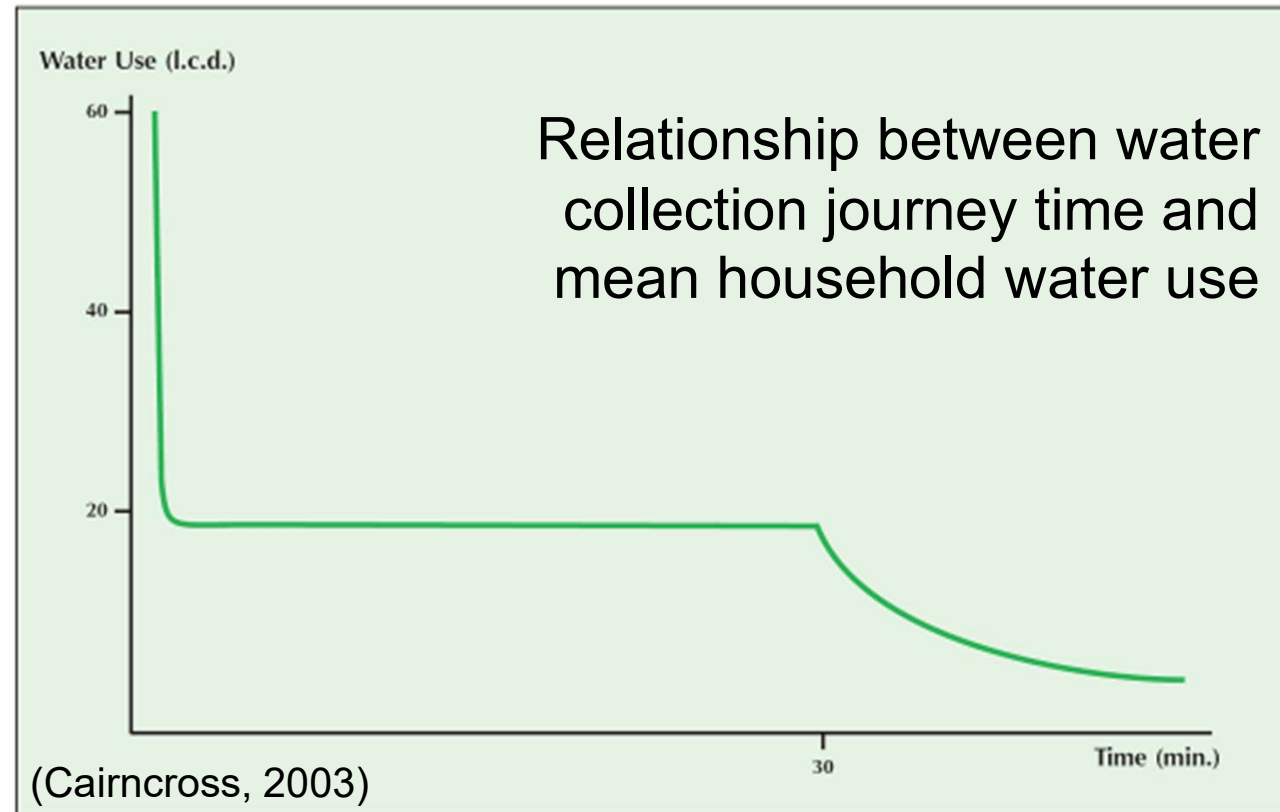
## SDG 6.1

By 2030 achieve universal and equitable access to safe and affordable drinking water for all

- proportion of population using safely managed drinking water



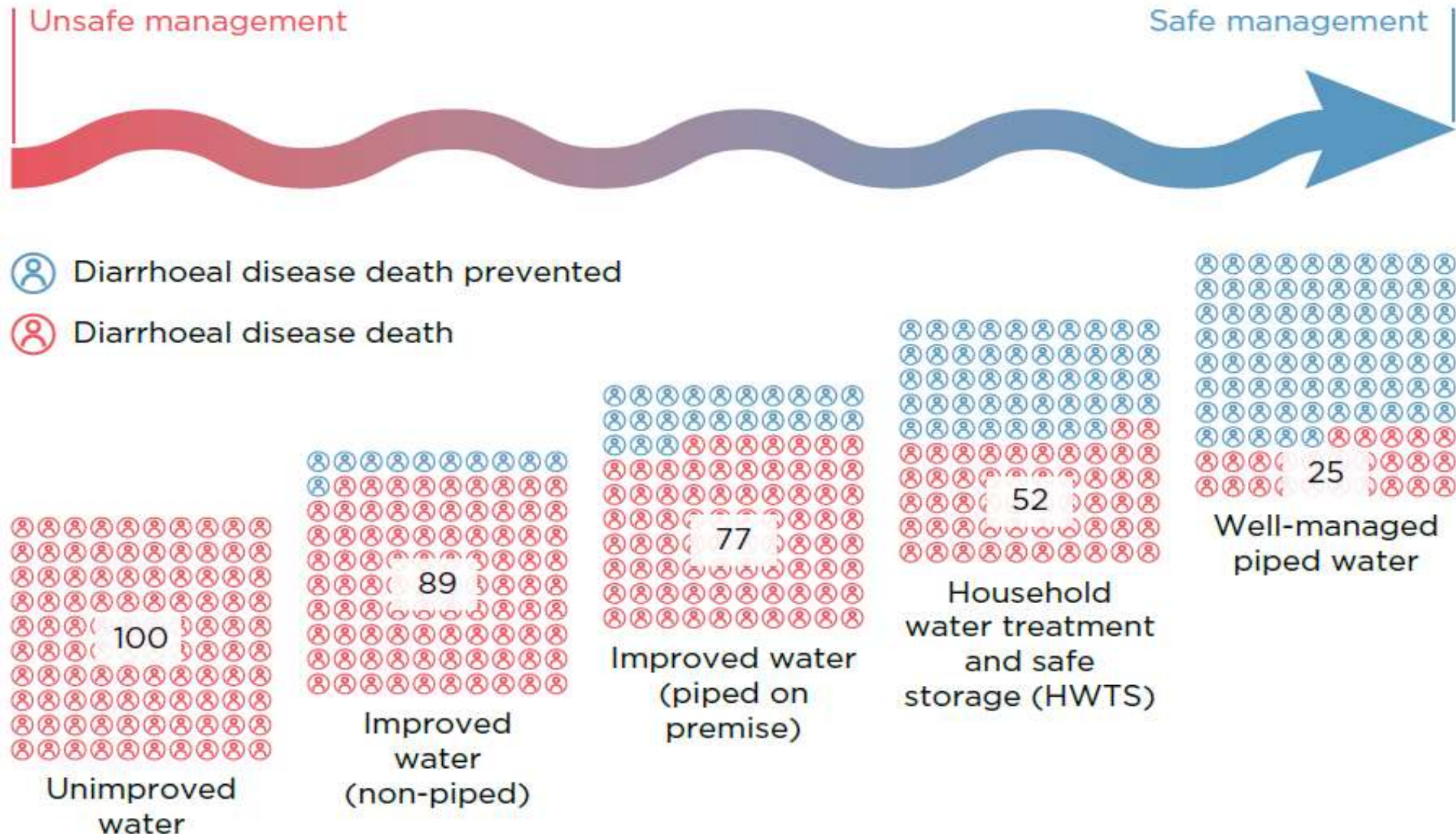
# Definitions : what is safe drinking water ?



Distance and time required for drinking water transport and a great impact on:

- the amount of water available for hygiene
- health and workload for women
- Recontamination risk

# Diarrhoea reduction associated with improving drinking water services



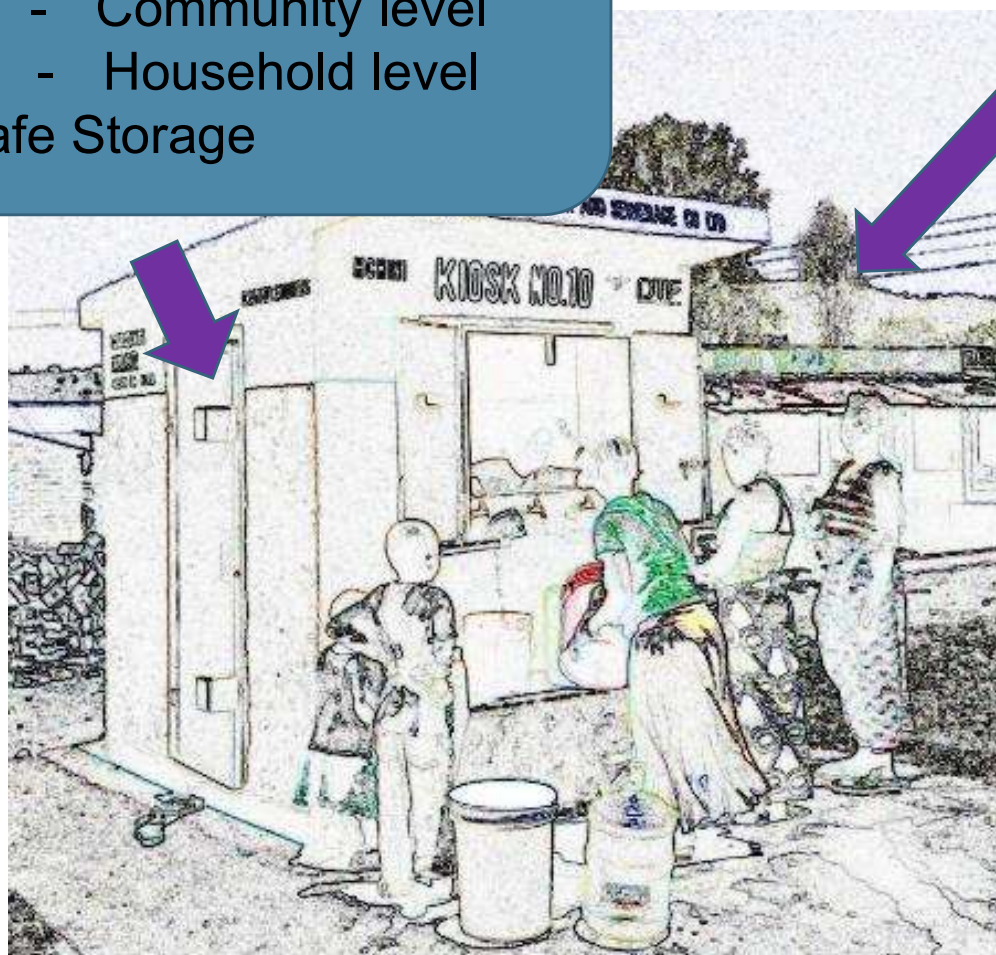
## Elements of sustainable safe water consumption

### Technology

Drinking water treatment

- Community level
- Household level

Safe Storage



### Finances

- Business management
- Demand
- Price of water
- Price of HWTS products
- Supply chains

### Behaviour

- Demand
- Know-how & capacity
- Consistent consumption

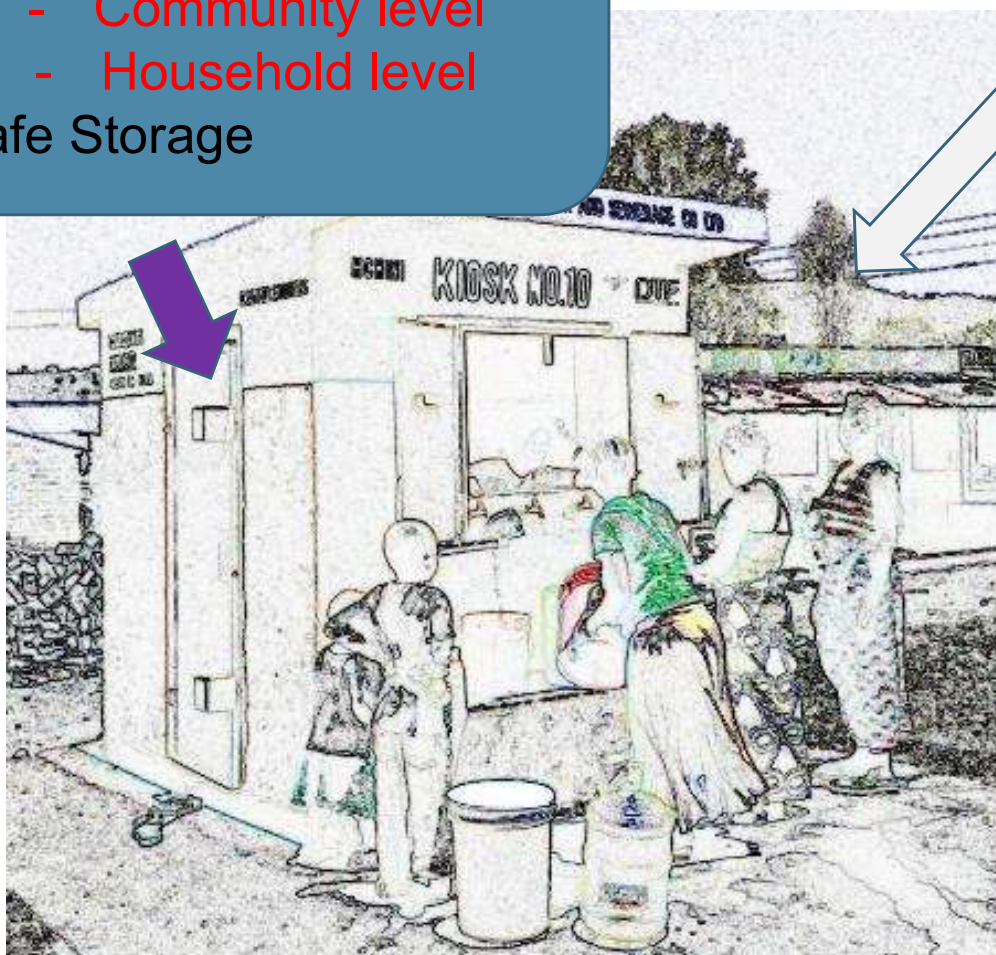
# Water Treatment Technologies

## Technology

Drinking water treatment

- Community level
- Household level

Safe Storage



## Finances

- Business management
- Demand
- Price of water
- Price of HWTS products
- Supply chains

## Behaviour

- Demand
- Know-how & capacity
- Consistent consumption

## Physical

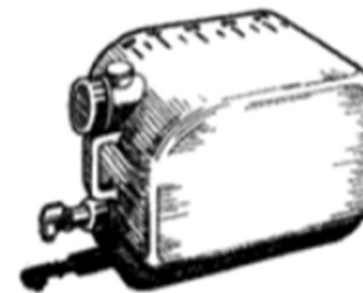
- boiling
- heating (fuel and solar)
- settling
- filtering
- exposing to the UV radiation in sunlight or lamps

## Chemical

- coagulation-flocculation and precipitation
- adsorption
- ion exchange
- chemical disinfection with germicidal agents (e.g. chlorine)

## Biological

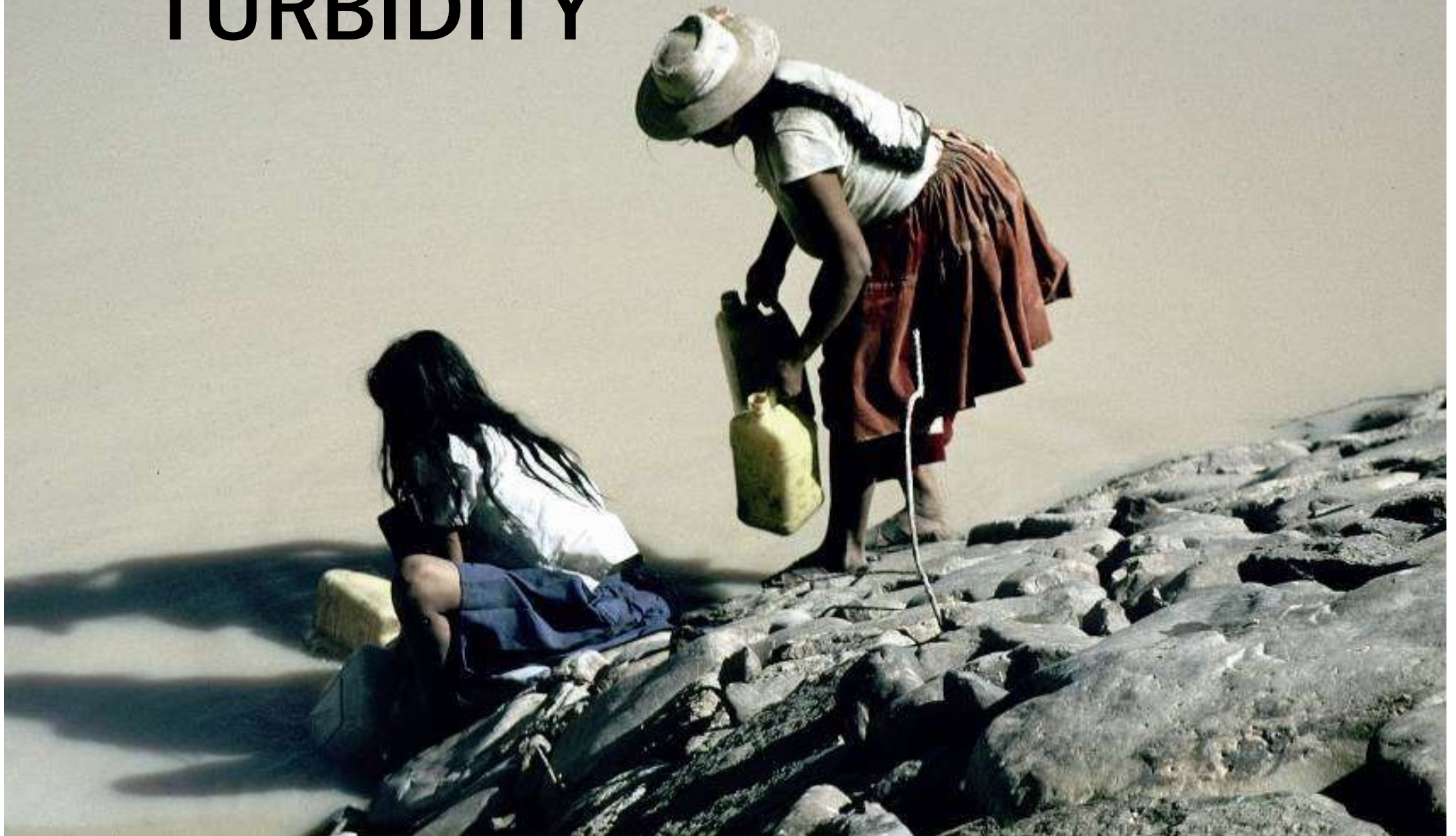
- biologically active layer in slow sand filters.



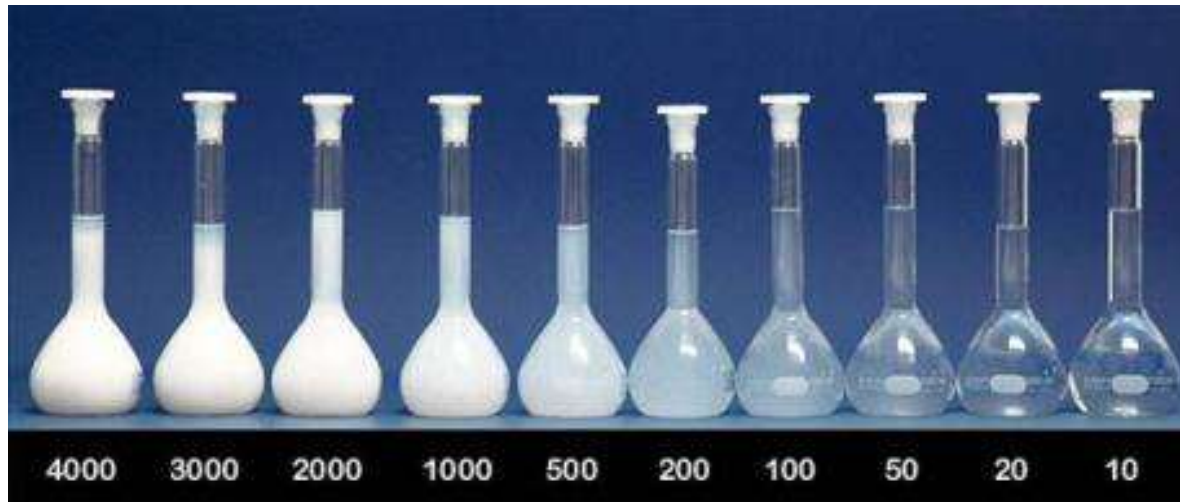
## Water treatment at different scales

Large scale centralized system	Decentralized, community treatment or Water Kiosk	Household water treatment
<p>A large number of people are supplied – high quality requirements</p> <p>Complex systems</p> <ul style="list-style-type: none"> <li>➤ High cost (investment &amp; operation)</li> <li>➤ Complex O&amp;M</li> </ul> <p>Responsibility with government or private company</p>	<p>System less complex</p> <ul style="list-style-type: none"> <li>➤ easier O&amp;M</li> <li>➤ lower cost</li> </ul> <p>Operated by community, challenge for capacity building</p> <p>O&amp;M Financing through the sale of treated water</p> <p>Need to create demand</p>	<p>Low-tech solutions</p> <p>Responsibility for financement, O&amp;M is with household</p> <p>Need to create demand and build capacity</p> <p>Treatment at point of consumption reduces risk of recontamination</p>

# REMOVAL OF TURBIDITY



# Threat of turbidity for water treatment



< 5 NTU is generally acceptable to consumers

**Turbid waters challenge treatment processes.**

- use up chemical disinfectants
- cause premature clogging of filters
- block UV radiation
- stimulate bacterial growth

How is turbidity removed ?

# Turbidity treatment- Coagulation & Flocculation

Coagulation and flocculation remove turbidity (suspended solids) and can reduce color and some dissolved compounds.

## Coagulants used:

### Alum Aluminiumsulfate ( $\text{Al}_2(\text{SO}_4)_3 \cdot n\text{H}_2\text{O}$ )

- Widely available, inexpensive
- Crystals or powder

### Iron salts ( $\text{FeCl}_3$ or $\text{Fe}_2(\text{SO}_4)_3 \cdot n\text{H}_2\text{O}$ )

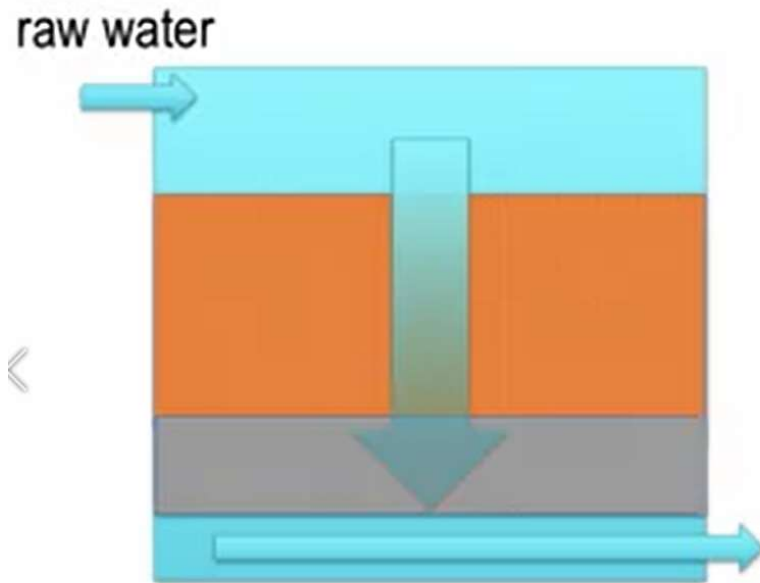
- Less common
- Less soluble than aluminium
  - ⇒ broader effective pH range

### Crushed seed of Moringa oleifera

## Process

- **Coagulation:** metal ions (e.g.  $\text{Al}^{+3}$ ) destabilize negatively charged colloids, and form hydroxide flocs ( $\text{Al}(\text{OH})_3$ ).
  - Alkalinity addition may be necessary (pH is reduced)
- **Flocculation:** flocs collide and grow.
- **Sedimentation:** the growing flocs settle out of solution.
- **Filtration:** remaining suspended flocs are removed.

# Turbidity treatment- Rapid Sand Filtration

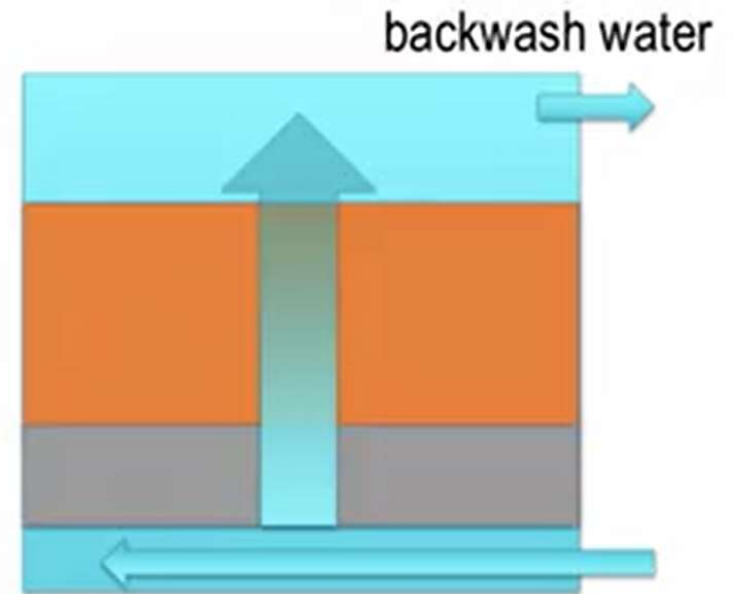


supernatant water

sand layer

gravel layer

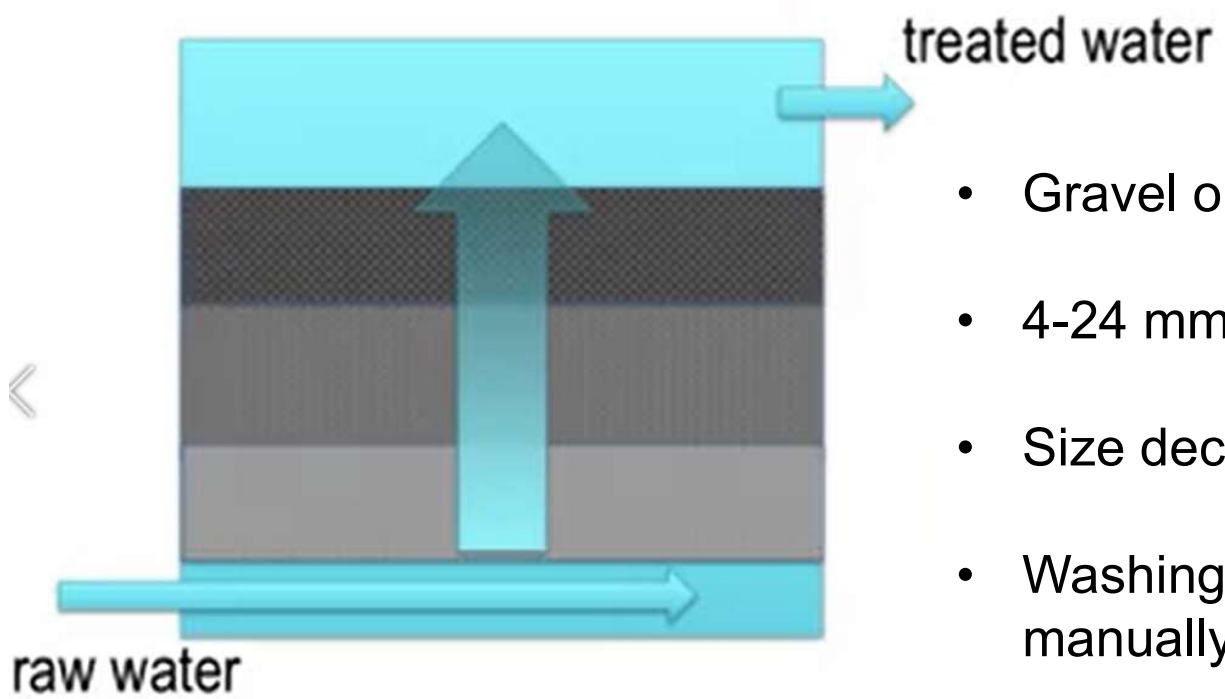
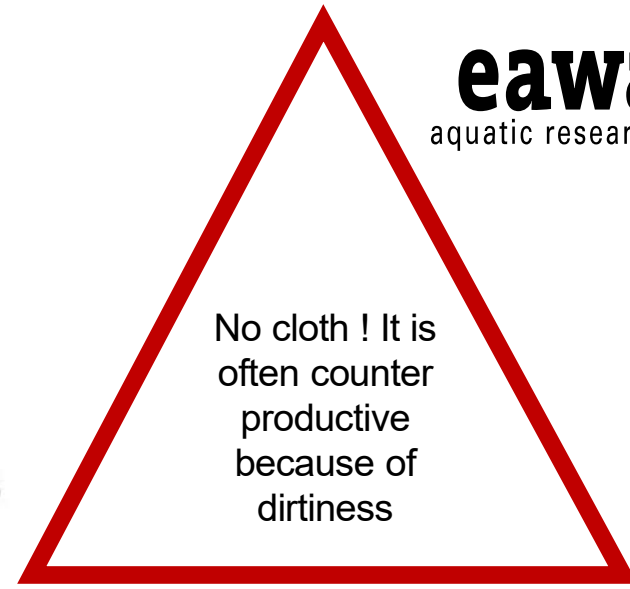
underdrain



backwashing

Downflow filtration  
Approximately 1-40 m/h

# Turbidity treatment- Roughing Filtration



- Gravel or other filtration material
- 4-24 mm
- Size decreases with flow direction
- Washing by draining the filter or manually removing the top layer

Upflow layer filtratrion  
Approximately 0.3-1.5 m/h

# PHYSICAL WATER TREATMENT

## Physical treatment - Sand, Gravel & Ceramic Filtration

Type	Efficiency	Applicability
Roughing Filtration	Removal of turbidity	Semi-centralized <ul style="list-style-type: none"> <li>○ <i>Only pretreatment</i></li> <li>○ <i>Local production</i></li> </ul>
Rapid Sand Filtration	Removal of turbidity	Semi-centralized <ul style="list-style-type: none"> <li>○ <i>Only pretreatment</i></li> <li>○ <i>Local production</i></li> </ul>
Slow Sand Filtration	1-2 Log Bacteria, Protozoa, Heavy metals, organic matter	Centralized/ Household <ul style="list-style-type: none"> <li>○ <i>Medium cost</i></li> <li>○ <i>Local production</i></li> </ul>
Ceramic Filtration	2 Log Bacteria & Protozoa no viruses	Household <ul style="list-style-type: none"> <li>○ <i>Low cost</i></li> <li>○ <i>Local production</i></li> </ul>

## Physical treatment - Slow Sand Filtration

### Microbial performance

- 1-2 Log Bacteria, Protozoa,
- Heavy metals, organic matter
- Limited effectiveness against viruses

### Advantages

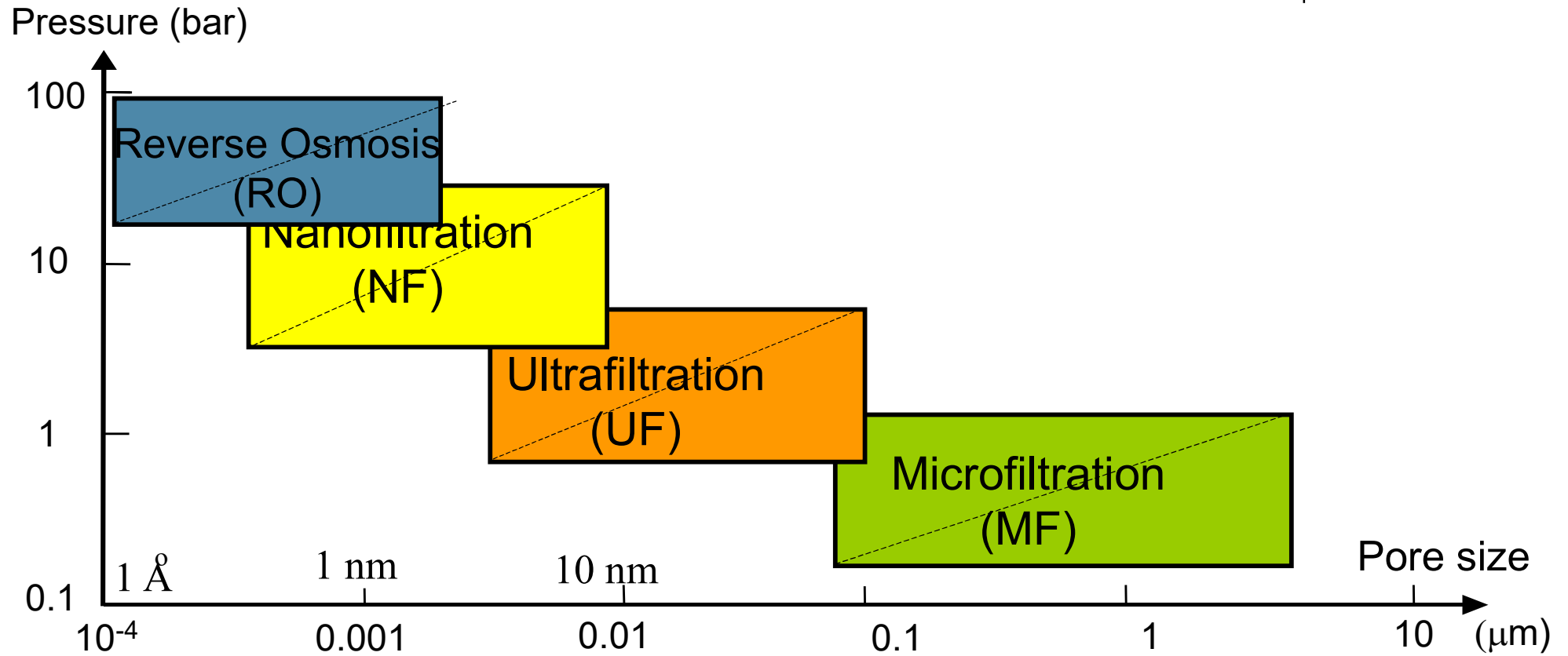
- Removal of organic matter, heavy metals
- Bio-reactor, reduced risk of recontamination
- Very robust and local materials for construction
- No requirement for chemicals or energy

### Limitations

- Limited effectiveness against viruses
- Needs matured biological layer to be effective («Schmutzdecke»); build up >10 days
- Schutzdecke is destroyed if sand dries out
- Filter is clogging at high turbidity (>100 NTU)

### Application

- Household
- Centralized treatment



**dissolved ions**

**viruses**

**hormones**

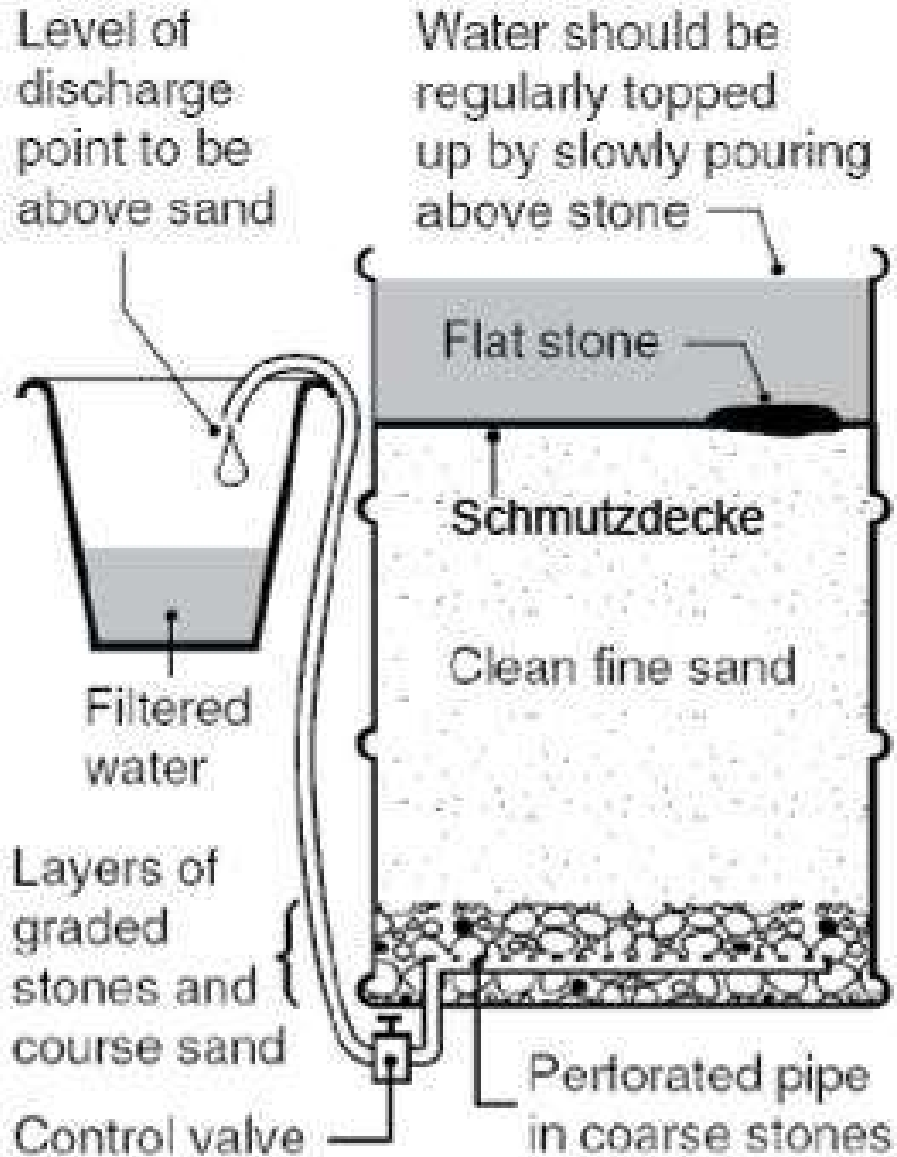
**bacteria**

**humics**  
**Macromolecules**

**emulsions**

**colloids**

# Physical treatment - Biosand filter



1. **Predation:** Microorganisms within the "**schmutzdecke**" (biological layer) consume bacteria and other pathogens found in the water.
2. **Mechanical trapping and adsorption:** Sediments, cysts and worms trapped in the spaces between the sand grains/ or adsorbed to the material.
3. **Natural death:** Food scarcity, suboptimal temperatures and short life span will cause pathogens to die off.

# Physical treatment - Biosand Filters

300,000 distributed

[www.purefilteredwater.com](http://www.purefilteredwater.com)



Cost for household products: ~30 USD



## Physical treatment - Membrane Filtration

Type	Efficiency	Applicability
Membrane Filtration (Microfiltration)	2-3 Log Bacteria & Protozoa, no Viruses	Centralized, Semi-centralized, Household
Membrane Filtration (Ultrafiltration)	4 Log Bacteria & Protozoa, 4-5 Log Viruses Colloids	Centralized, Semi-centralized, Household
Membrane Filtration (Reverse Osmosis)	>5 Log Bacteria, Protozoa, Viruses Humics, dissolved ions	Centralized, Semi-centralized <ul style="list-style-type: none"> <li>○ <i>high-tech</i></li> <li>○ <i>very expensive</i></li> <li>○ <i>discharge or brine problematic</i></li> </ul>

# Physical treatment - Membrane Filtration

- Polymeric membranes used for filtration (fe. Polyethersulfon (PES))  
hollow fiber membranes/ flat sheet membranes
- Systems with smaller pore size require higher pressure
- For traditional systems: regular backflushing and disinfection of membranes required



**Laminated flat-sheet membranes**



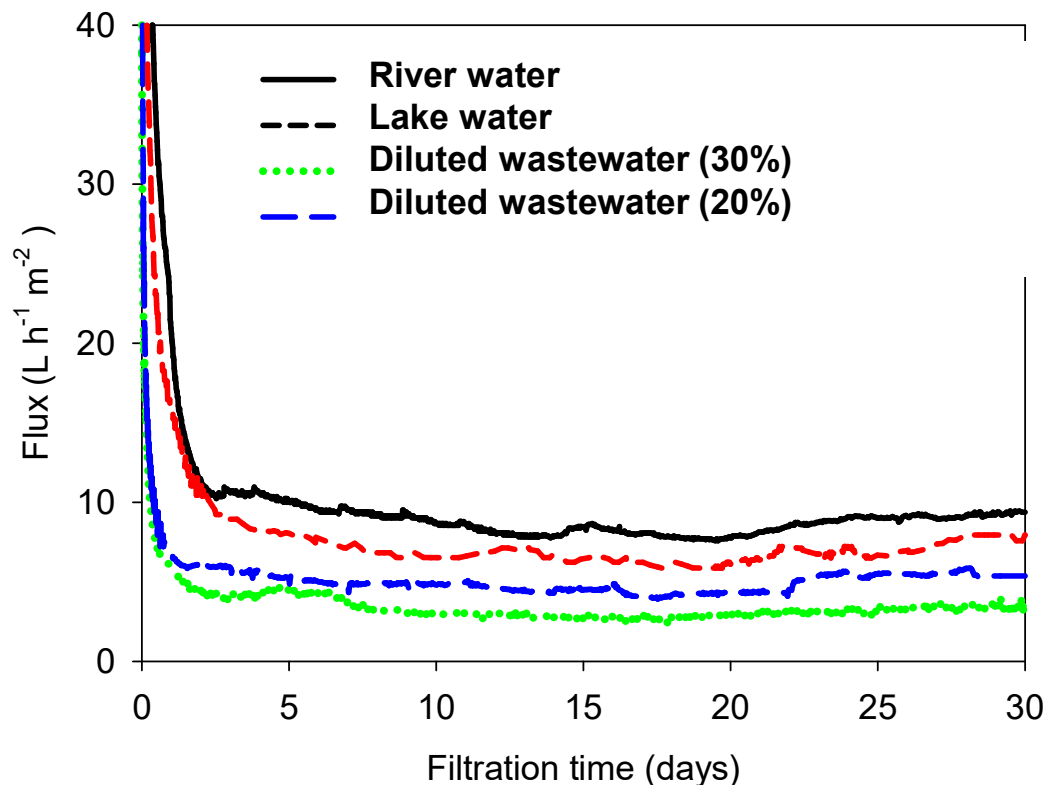
**Hollow fibre and capillary membranes**



# Physical treatment - Gravity Driven Membranes

## Flux stabilization

- No crossflow
- No backflush
- No cleaning



**Flux stabilizes on a level of 4-10 ( $\text{L h}^{-1} \text{m}^{-2}$ ) for at least 2 years**

# Physical treatment - Membrane Filtration (traditional systems)

*Mainly reverse osmosis in water kiosks*

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Microbial performance	<ul style="list-style-type: none"><li>• Depending upon pore size of membrane: (see slide 22)</li></ul>
Advantages (gravity driven system)	<ul style="list-style-type: none"><li>• High efficiency</li><li>• High flow</li><li>• Turbidity is reduced</li></ul>
Limitations	<ul style="list-style-type: none"><li>• Fouling of membranes -&gt; Requires regular back-flushing and chemical disinfection</li><li>• Pretreatment required for turbid water</li><li>• Complex system, high cost</li><li>• Product must be imported in most areas</li><li>• Requires supply chain for replacement parts</li><li>• Difficult discharge of brine in RO-systems</li><li>• Recontamination risk during storage</li></ul>

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# Physical treatment - Membrane Filtration (GDM)

Microbial performance

- Micro- or Ultrafiltration: depending upon pore size of membrane

Advantages

- Simple to use
- Works well with turbid water (GDM)
- No requirement for chemicals or energy
- Formation of a biofilm on membranes (GDM)

Limitations

- Some systems require regular backflushing (Skyjuice)
- Membranes must be imported -> difficult supply chains
- Medium cost
- Recontamination risk during storage

Application

- Household
- Community scale
- Centralized

# Physical treatment - Example of filters



**Lifestraw personal**  
Microfiltration, 100nm  
sucking, backflush  
Cost: 25 USD/ 40 USD

**Sawyer Water Filter**  
Ultrafiltration, 20nm  
Siphon-filter  
hydrostatic pressure,  
backflush  
Cost: 50 USD

**Martin Membranes**  
Ultrafiltration, 20nm  
hydrostatic pressure, no  
backflush  
Cost: 60 USD

# Physical treatment - Ceramic filtration

Water is filtered through porous ceramic material.

**Removal effectiveness** depends on size of the pores in the clay. Most filters are effective at removing protozoa and bacteria, **but not at removing viruses**.

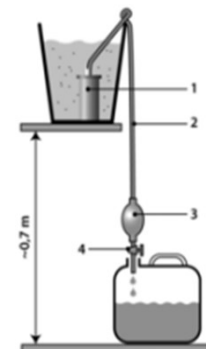
Higher quality ceramic filters treated with bacteriostatic silver in the lab:

- Protozoa reduction > 99.9% (3 log)
- Bacteria reduction > 99.99% (4 log)

**Slow flow:** 1-2 litres per hour (per candle)

Regular cleaning required if turbid water is used

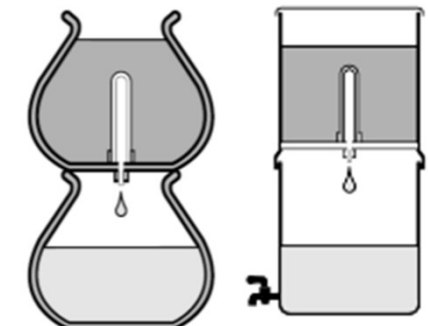
Cost about 10 to 30 USD



**Siphon  
filter**



**Porous  
jar**



**Candle with  
jars**

# Physical treatment - Ceramic Filtration

Microbial performance	<ul style="list-style-type: none"><li>• 2 Log removal of bacteria and protozoa</li><li>• Limited effectiveness against viruses</li></ul>
Advantages	<ul style="list-style-type: none"><li>• Simple to use</li><li>• Turbidity is reduced</li><li>• Local production possible</li><li>• One-time investment</li><li>• No requirement for chemicals or energy</li></ul>
Limitations	<ul style="list-style-type: none"><li>• Recontamination risk during storage</li><li>• Quality of locally produced filters is variable</li><li>• Requires regular cleaning (especially if water is turbid)</li><li>• Low filtration rate (1-3 Liters per hour)</li><li>• Fragility: frequent filter breakage and difficult transport</li></ul>
Application	<ul style="list-style-type: none"><li>• Household</li></ul>

## Ceramic filters in Cambodia – direct sales and MFI

<b>Product</b>	<b>Tunsai ceramic filter</b>
Organizations	Hydrologic social enterprise
Sales approach	Door-to-door sales by trained sales agents and in MFI group meetings Tunsai: 12.5 USD Super-Tunsai: 22 USD
Outcome	Direct sales: 16.4% of HHs  MFI groups: 43.1% of members High rates of consistent use (74%)



- Microfinance loans quickly outperformed direct sales model → Financing is a key trigger for purchase
- Importance of design: Super Tunsai outsold Tunsai by 17:1

# Physical treatment - Boiling

**The oldest method**

**Never applied at centralized scale**

**Often at household scale**

**Ideally, the water is cooled and stored in the same vessel in order to minimize chances of re-contamination.**

**Effective against almost all pathogens**

Exceptions: some spores like anthrax



Question: How long should water be boiled?

# Physical treatment - Pasteurization

Microorganisms	Temperature for 100 % Destruction		
	1 Min.	6 Min.	60 Min.
Enteroviruses			62 °C
Rotaviruses			63 °C for 30 Min.
Faecal Coliforms	at 80 °C complete destruction		
Salmonellae		62 °C	58 °C
Shigella		61 °C	54 °C
Vibrio Cholera			45 °C
Entamoeba Histolytica Cysts	57 °C	54 °C	50 °C
Giardia Cysts	57 °C	54 °C	50 °C
Hookworm Eggs and Larvae		62 °C	51 °C
Ascaris Eggs	68 °C	62 °C	57 °C
Schistosomas Eggs	60 °C	55 °C	50 °C
Taenia Eggs	65 °C	57 °C	51 °C

(Feachem, 1983)



Water does not have to be boiled in order to kill 99.9% of the microorganisms.  
Heating up the water to 70°C for a few minutes has the same effect.

# Physical treatment - Boiling & Pasteurization

## Microbial performance

- Disinfects all classes of pathogens

## Advantages

- Common technology
- Can be combined with cooking and tea boiling
- Treats turbid water!

## Limitations

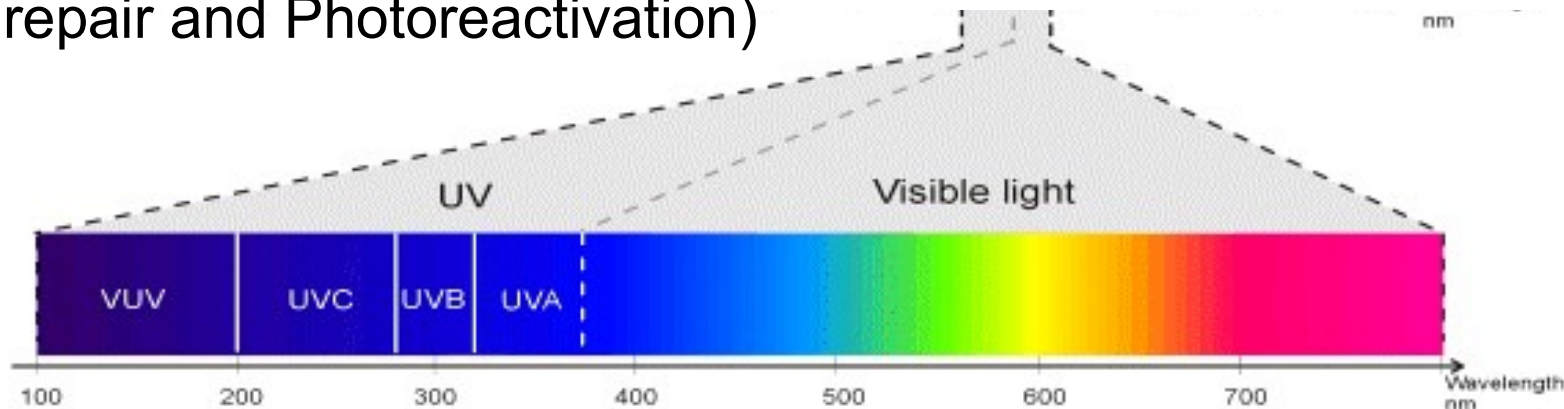
- Recontamination risk during storage
- High cost for fuel
- Indoor air pollution
- Time consuming application

## Application

- Boiling only at household level
- Community applications for pasteurization

# Physical treatment - Ultraviolet irradiation (UV-C)

- Germicidal activity of UV-C-radiation (200-320 nm) used for water disinfection since early 20th century.
- All waterborne pathogens are disinfected at sufficiently high doses:
  - ◆ Bacteria, Cryptosporidium & Giardia: 1-10 mJ/cm<sup>2</sup>
  - ◆ Viruses & bacterial spores: 30-150 mJ/cm<sup>2</sup>
- Low pressure mercury UV-lamps: 50-150 mJ/cm<sup>2</sup>
- With too low doses of UV radiation: Ability of bacteria and other microbes to repair UV-induced damage and restore infectivity (Dark repair and Photoreactivation)



# Physical treatment - UV radiation

## Microbial performance

- Effective against bacteria, protozoa and viruses

## Advantages

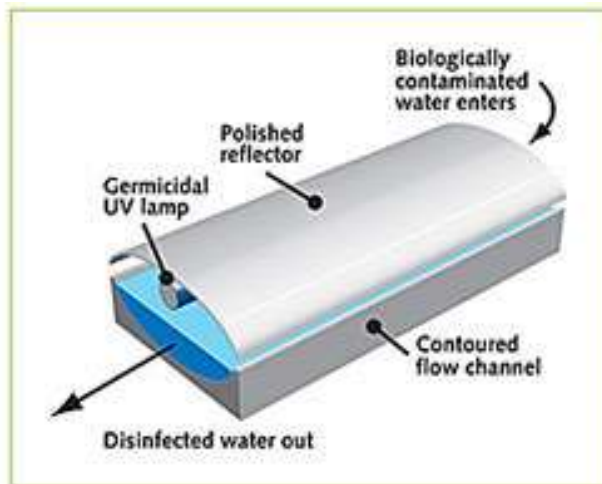
- Simple to use
- No change in taste in water
- Very fast treatment

## Limitations

- Highly turbid water needs pretreatment
- Requires electricity
- Requires supply chain for replacement parts

## Application

- Household
- Community Scale



Cost for household product: 80 USD  
Low cost option under development

# Physical treatment - Solar Disinfection (SODIS)

1 Wash the bottle well the first time you use it



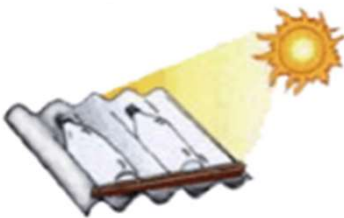
- Contaminated water is filled into transparent plastic bottles and exposed to the sunlight for 6 hours.

- During exposure sunlight destroys the pathogenic bacteria and viruses.

- A solar radiation intensity of at least  $500 \text{ W/m}^2$  is required during 5 hours

- A synergy of UV-A radiation and temperature occurs if the water temperature raises above  $50^\circ\text{C}$   
→ after 1 hour of solar exposure the water is safe for consumption

3  
Place the bottles on a corrugated iron sheet



5  
Expose the bottle to the sun from morning until evening for at least six hours

An illustration of a clock face with a sun icon on the left and another sun icon on the right, indicating a six-hour period of exposure.

6  
The water is now ready for consumption

An illustration of a person in a red shirt drinking water from a glass. A plastic bottle is shown next to them.

## Physical treatment - Solar Disinfection

Microbial performance	<ul style="list-style-type: none"><li>• Effective against bacteria, protozoa and viruses (depending upon weather and container material)</li></ul>
Advantages	<ul style="list-style-type: none"><li>• Uses locally available materials (sunlight and PET-bottles)</li><li>• Very low cost</li><li>• No change in taste in water</li><li>• Recontamination unlikely if stored in bottles used for treatment</li></ul>
Limitations	<ul style="list-style-type: none"><li>• Highly turbid water needs pretreatment</li><li>• Weather dependency</li><li>• Long treatment time (some hours to two days)</li><li>• Limited volume of water that can be treated</li><li>• Requires a large supply of intact, clean and properly sized bottles</li></ul>
Application	<ul style="list-style-type: none"><li>• Only household</li></ul>

# CHEMICAL TREATMENT

# Chemical treatment - Chlorination

- Free Chlorine, commonly used
  - NaOCl, liquid Sodium Hypochlorite,
  - Ca(OCl)<sub>2</sub> solid Calcium Hypochlorite, Bleaching Powder

- Sodium dichloroisocyanurate (NaDCC)  
(Tablets, higher shelf-life)

- Water quality influences the inactivation.  
FRC consumed by dissolved organic matter.

- Turbidity < 5 NTU
- 6.8 < pH < 7.2

- Sometimes used: silver, iodine (not for longterm use)

→Highly turbid water needs to be pretreated

→Contact time: about 30 Minutes

Particular resistance of Cryptosporidium!



Only method that  
provides residual  
disinfection!  
Protection against  
recontamination

# Chemical treatment - Chlorination

## Dosage of chlorination

**WHO recommendation for chlorination at the tap stand:  
0.2 to 1.0 mg/L to protect water from recontamination**

**This concentration is not sufficient to protect water from unimproved open water sources (higher chlorine demand, more nutrients for regrowth)**

- 2 mg/L < 10 NTU
- 4 mg/L for 10-100 NTU
- This will provide 0.2 mg/L after 24h storage

**Water with higher turbidities: no chlorination!**

- Formation of Trihalomethanes (potentially cancerogenic & bad smell)



# Chemical treatment - Chlorination

Microbial performance	<ul style="list-style-type: none"><li>• Minimum 2 Log removal of bacteria and some viruses</li><li>• Ineffective against protozoan cyst such as <i>Cryptosporidium parvum</i></li></ul>
Advantages	<ul style="list-style-type: none"><li>• <b>Residual protection against recontamination</b></li><li>• Simple to use</li><li>• Local production is possible</li><li>• Low cost</li></ul>
Limitations	<ul style="list-style-type: none"><li>• Highly turbid water needs pretreatment</li><li>• Strong taste and odour of treated water</li><li>• Dosage might be difficult</li><li>• Contact time essential for chemicals to react</li></ul>
Application	<ul style="list-style-type: none"><li>• Household level</li><li>• Community scale</li><li>• Centralized</li></ul>

# Chemical treatment - Chlorine dispenser

## Marketing example

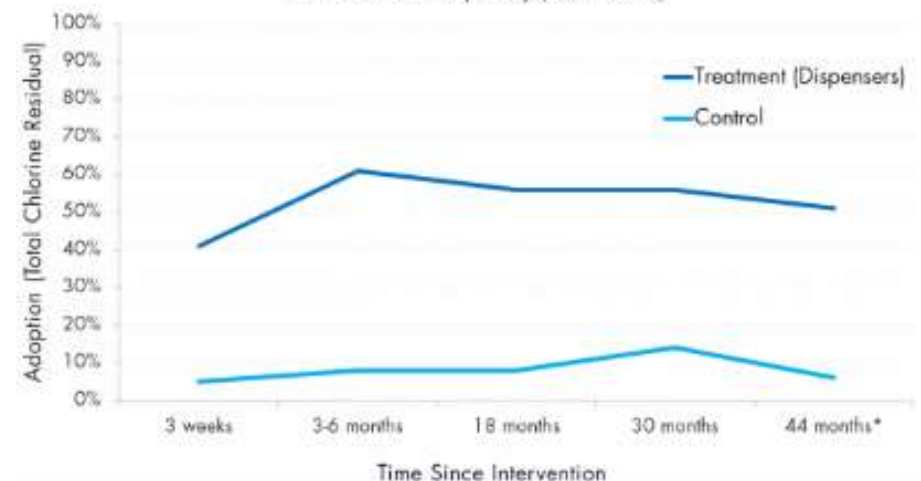
Product	Chlorine Dispenser
Organizations	Innovations for Poverty Action (IPA)
Sales approach	Cost for chlorine is integrated into the price of water 0.5 USD per year per person
Outcome	Evaluation in Kenya: 50-61% of people used chlorine dispenser regularly (compared to 6-14% in control group), and still used it after 2 years.



- The dispenser is a plastic tank with a valve that delivers a precise dose of chlorine at the water source.
- Community education & 1 community member is responsible for the dispenser
- Regular maintenance and supply of chlorine

Dispenser Adoption Over Time

Results from IPA randomized controlled trial (3-30 months) and DSW follow-up study (~44 months)



# Chemical treatment – Example of chlorination



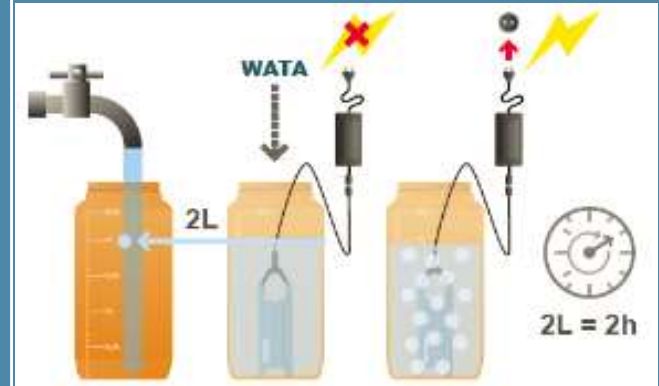
## Safe Water System Liquid chlorine & storage

- Local hypochlorite generation
- Local Marketing of stabilized product
- Safe storage
- Low cost product



## Aquatabs

- Sodium dichloroisocyanurate (NaDCC)
- shelf life 5 years



## WATA

- In-situ chlorine production using electrolysis to produce 6 g/L chlorine solution from saturated brine (NaCl-Solution)
- Application in schools, health centers

## Selection criteria for treatment methods

- There is no “best” water treatment system. The choice depends on local criteria, such as water quality at the source, cultural preferences or financial possibilities.
- Important selection criteria for products are:
  - Effectiveness
  - Durability (including no need for frequent replacement of parts)
  - Attractive design
  - Easy operation & maintenance
  - Affordability & cultural acceptability
- To entirely remove microbiological and chemical contamination as well as turbidity, a combination of different systems might be necessary.



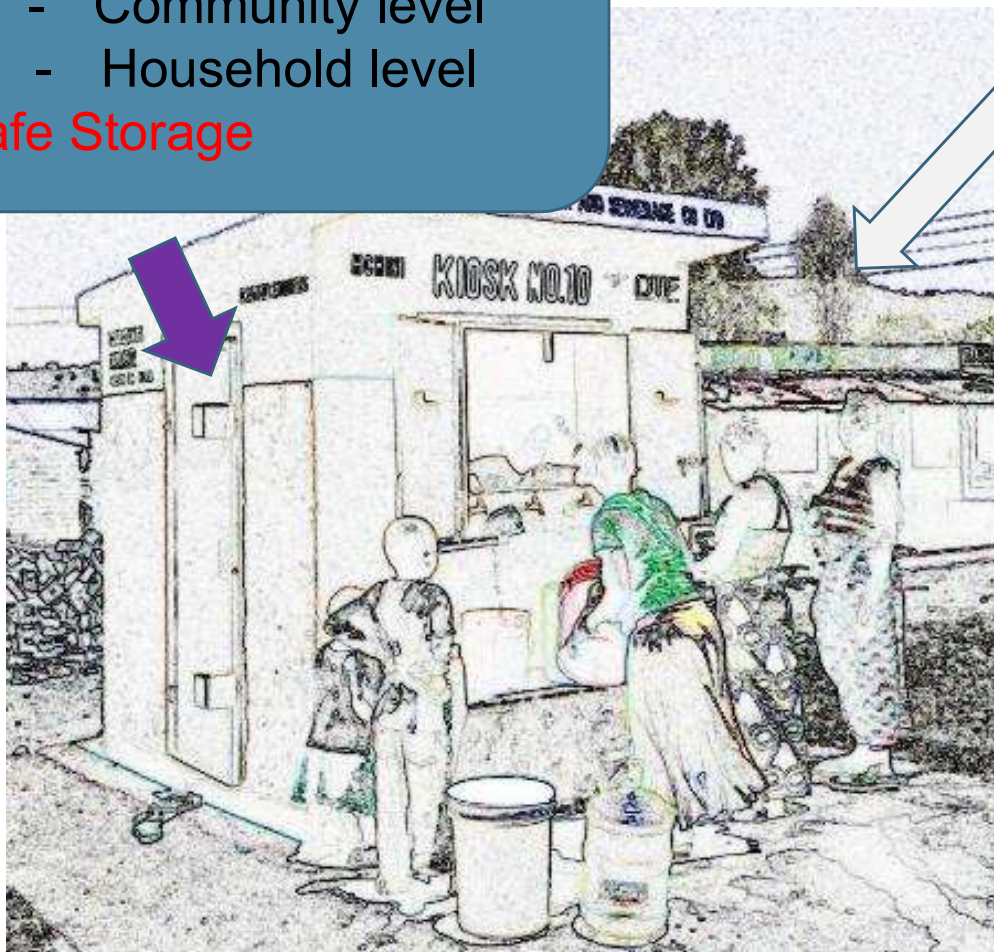
# Water Treatment Technologies

## Technology

Drinking water treatment

- Community level
- Household level

Safe Storage



## Finances

- Business management
- Demand
- Price of water
- Price of HWTS products
- Supply chains

## Behaviour

- Demand
- Know-how & capacity
- Consistent consumption

# Safe water at source $\neq$ safe at consumption

- Unreliable operation and supply (water supply networks)
- Leaky water distribution networks
- High risk of contamination during transport and handling

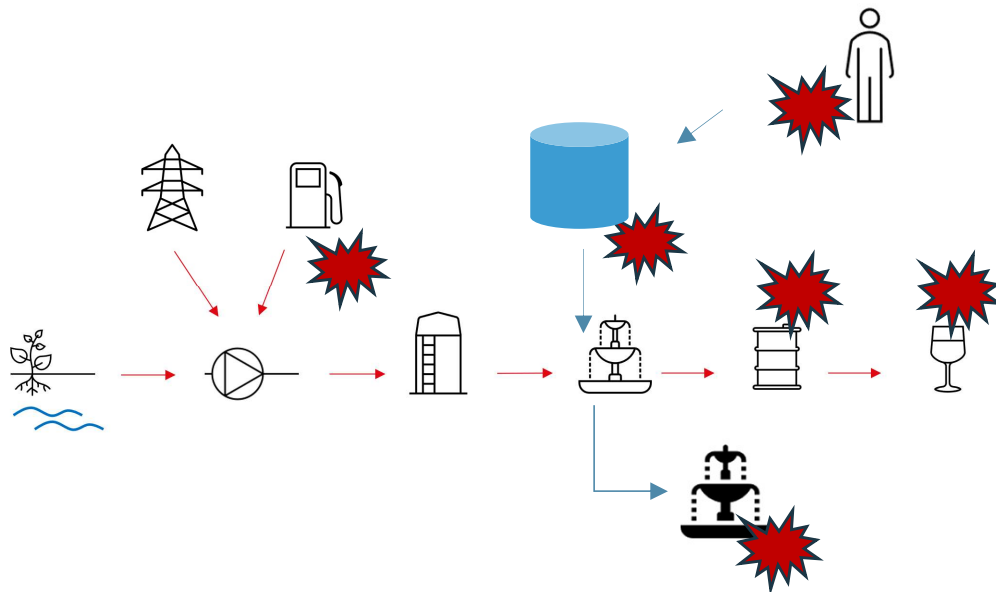
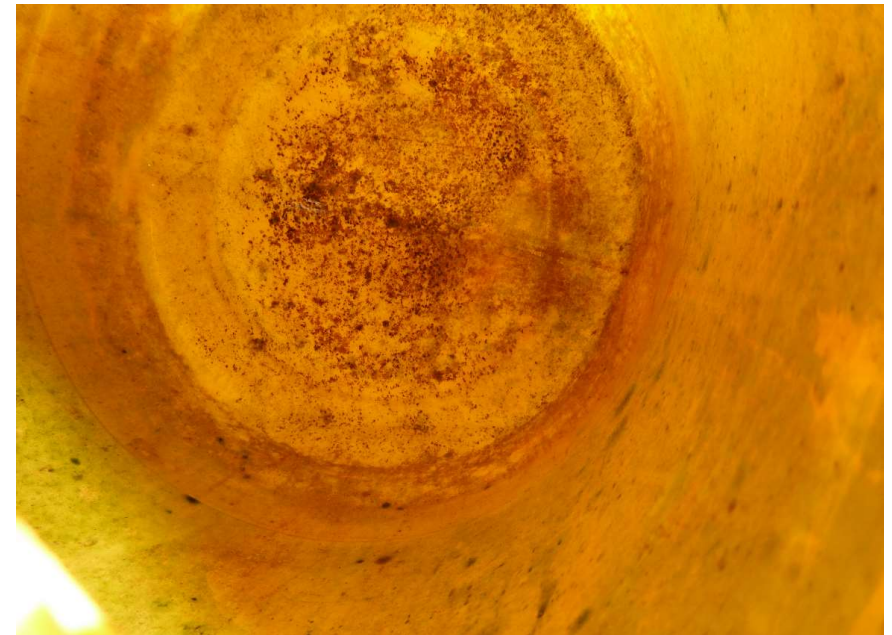
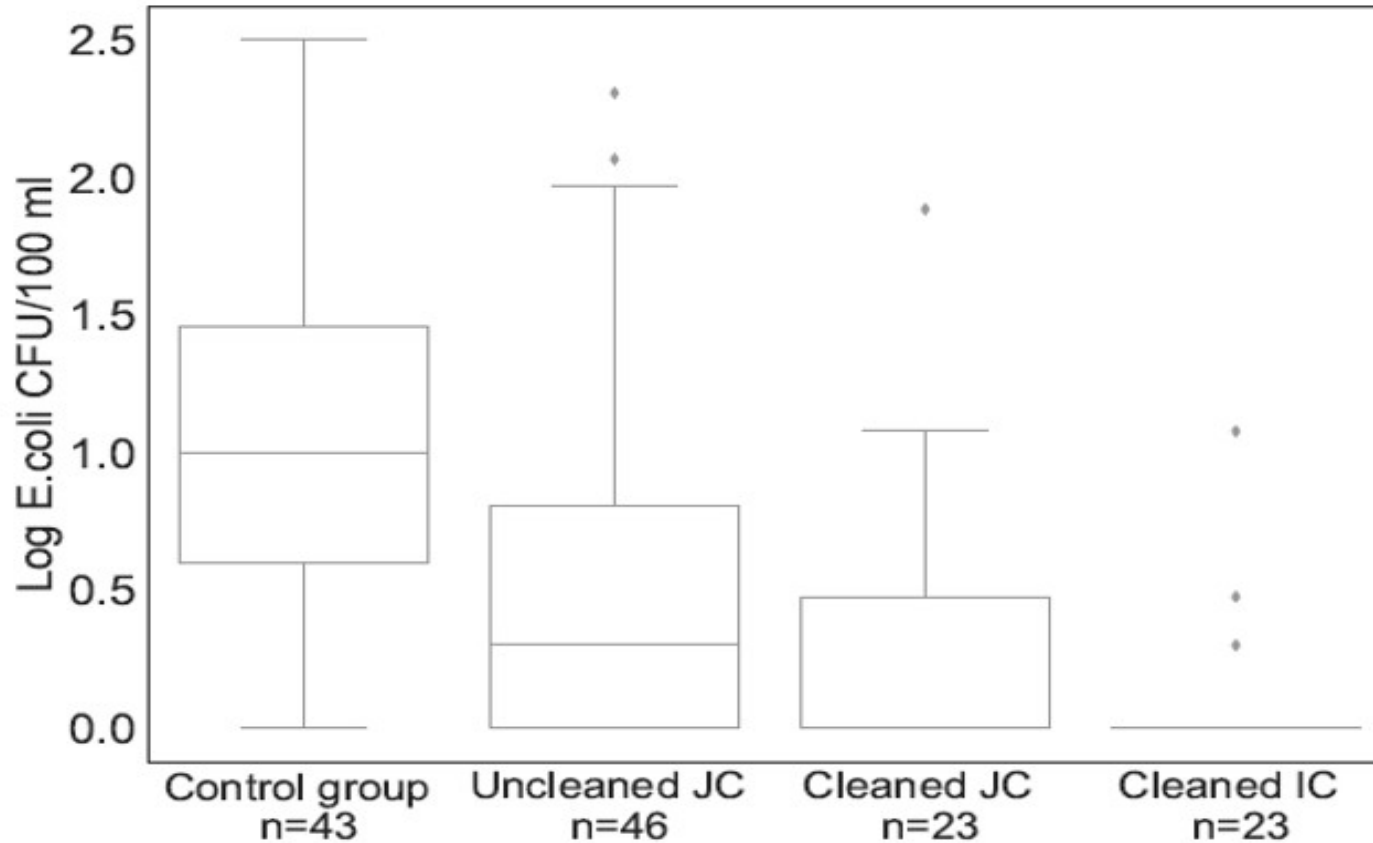


Figure : Jasmin Hänni

# Disinfection and cleaning of storage containers



## Control

- No chlorination at the kiosk
- No cleaning of jerrycan
  - Normal jerrycans

## Strategy I

- **Chlorination** at the kiosk
- No cleaning of jerrycan
- Normal jerrycans

## Strategy II

- **Chlorination** at the kiosk
- **Cleaning** of jerrycan
- Normal jerrycans (JC)

## Strategy III

- **Chlorination** at the kiosk
- **Cleaning** of jerrycan
- Improved jerrycans (IC)

# Likelihood of contamination in the home

## Observed water management practice

### Water Collection

- Well with hand pump
- Container rinsed with water, wiped with hand

### Return Journey Home

- Collection container carried on head
- Most containers without lids

### Transfer to Storage Container

- Water poured through a 'filter cloth' into storage container

### Storage and Use

- Drawn using a beaker, ladle, or gourd
- Storage container kept covered



## Potential deterioration factors

- Dirty hands
- Dirty collection containers
- Dirty filtration cloths
- Dirty storage containers
- Insects
- Regrowth of pathogens

(Trevett, 2005)

# Safe water storage

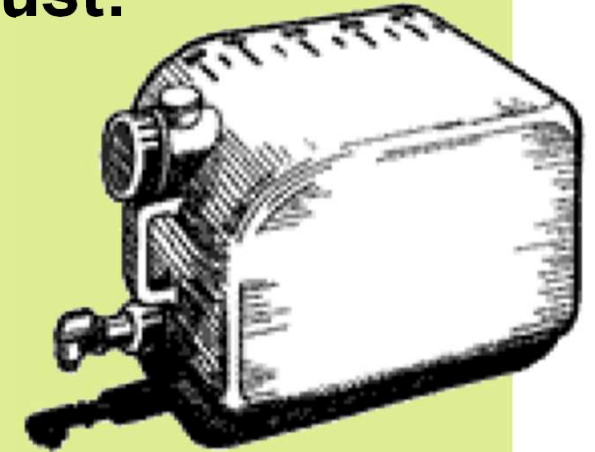
## A properly designed safe storage system must:

be affordable, portable, durable, and easy to use

have a **tap** to withdraw water in a sanitary manner  
(reduce contamination by hands or dipping utensils)

Have a **coverable (screw-cap) opening** for filling  
and cleaning

be also suitable for water **collection and transport**



Chlorine for residual disinfection!

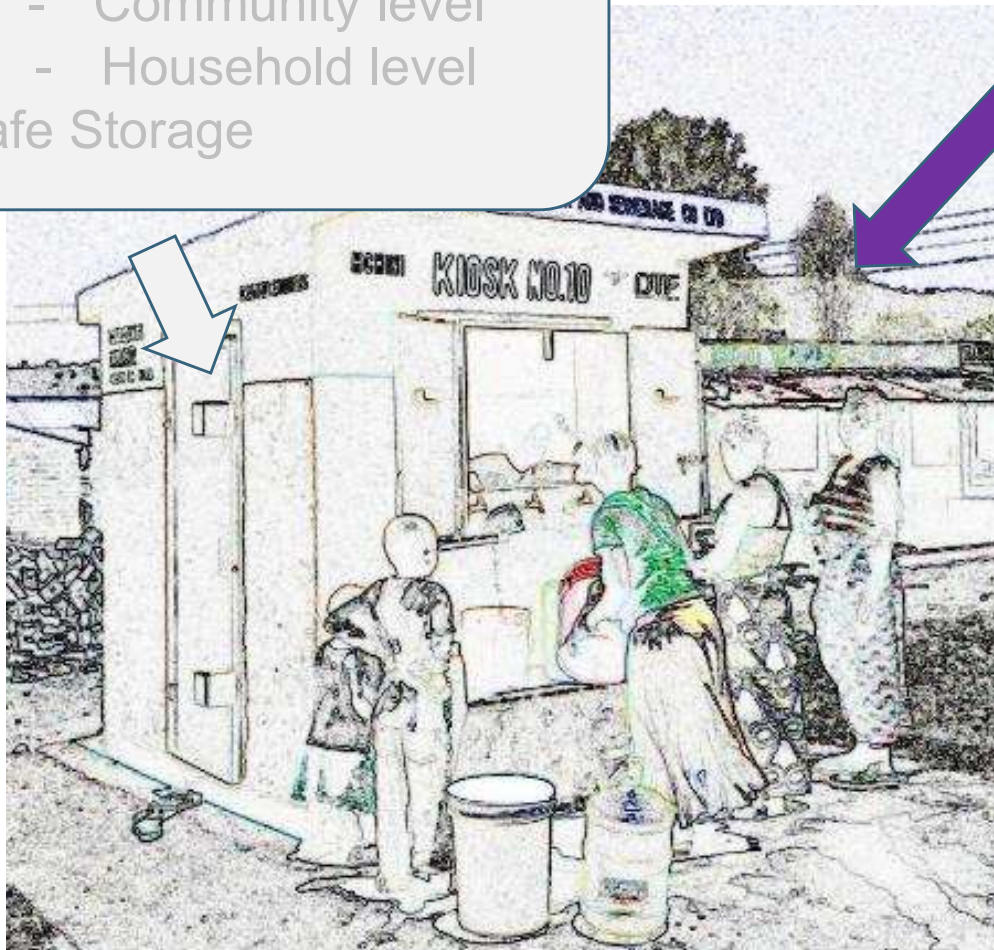
# Elements of sustainable safe water consumption

## Technology

Drinking water treatment

- Community level
- Household level

Safe Storage



## Finances

- Business management
- Demand
- Price of water
- Price of HWTS products
- Supply chains

## Behaviour

- Demand
- Know-how & capacity
- Consistent consumption

# Business - Management of Water Kiosks

Financing of investment cost

Financing of O&M

Create income:  
**- Demand!**

Acceptable price of water?

Additional revenue?

Ownership Model

Capacity

-Technical  
-Business Mgmt

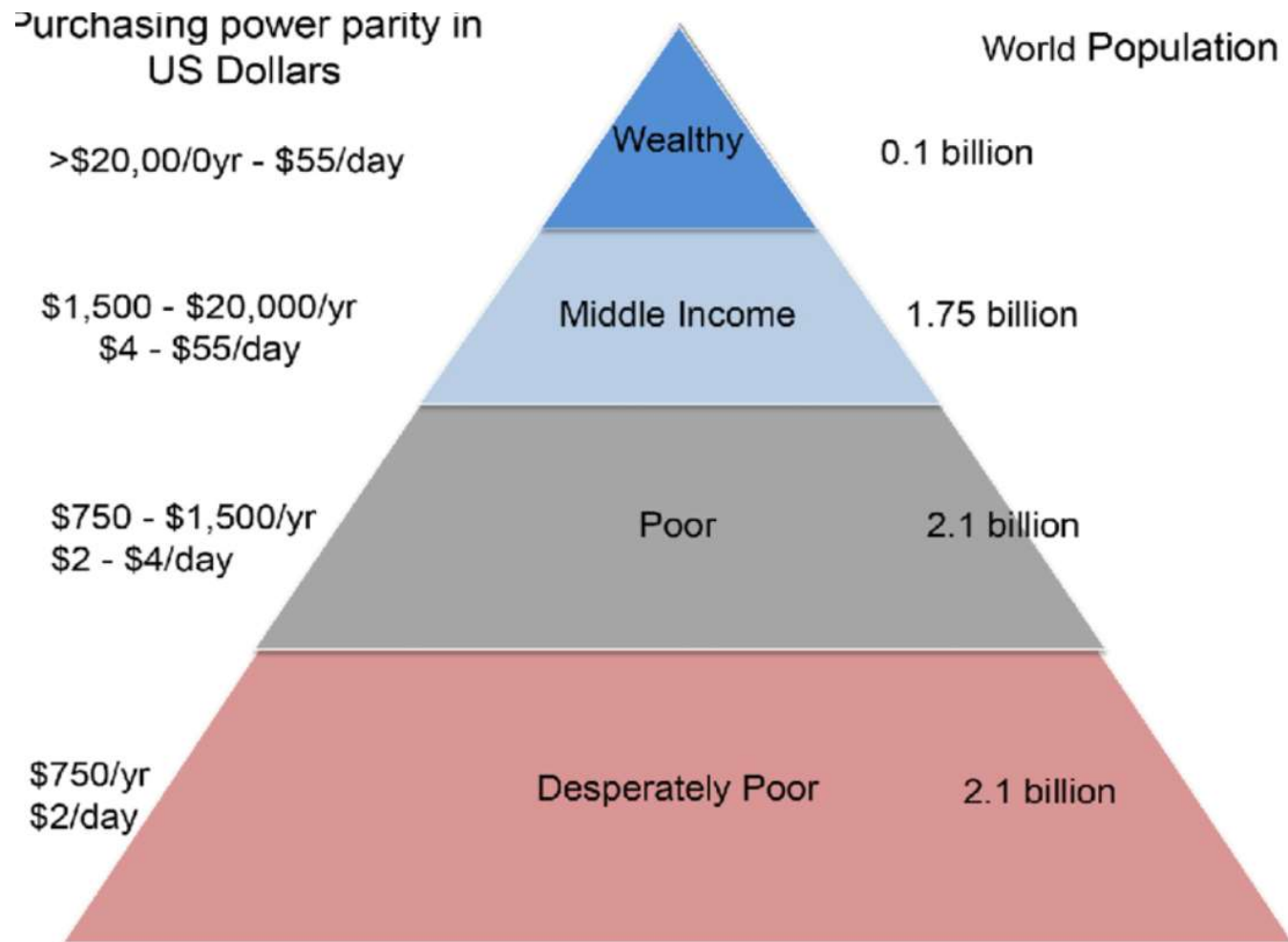


# Business – business model approaches of Water

## Kiosks

Ownership model	Key activities; Value proposition	Customer relation and marketing	Nr of Customer s
<ul style="list-style-type: none"> <li>Public private partnership</li> <li>Community managed system</li> <li>Private Enterprise</li> </ul>	<ul style="list-style-type: none"> <li>Sale of safe water</li> <li>Sale of additional products (health products, electricity)</li> <li>Transport of water</li> </ul>	<ul style="list-style-type: none"> <li>Community training (often neglected)</li> <li>Behaviour change campaign</li> <li>Branding</li> </ul>	Influenced by: <ul style="list-style-type: none"> <li>Population density</li> <li>Other water sources</li> <li>Attitude, Norms, Risk Awareness</li> <li>(Promotion)</li> </ul>
Key resources	Cost structure	Revenue structure	
<ul style="list-style-type: none"> <li>Technology</li> <li>Management</li> <li>O&amp;M Capability</li> </ul>	<ul style="list-style-type: none"> <li>Capex</li> <li>Opex (salaries, maintenance, electricity etc.)</li> </ul>	<ul style="list-style-type: none"> <li>Product sale</li> <li>Donor support (Capex!)</li> <li>Government support</li> <li>Community support</li> </ul>	

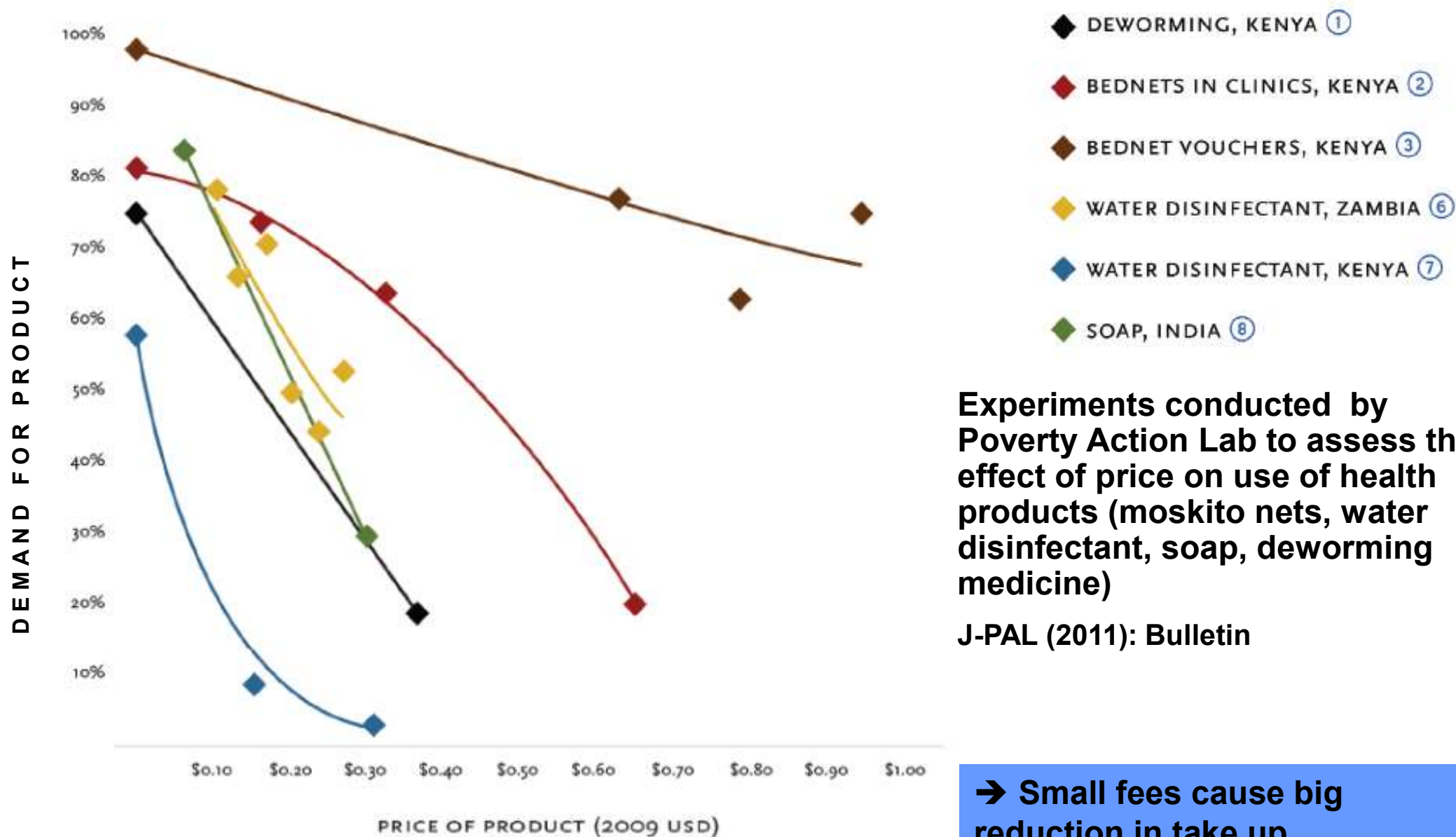
# Business - The world economic pyramid



4 billion people at the bottom of the pyramid. Income less than 1500 USD/year

1 billion people — roughly one-sixth of humanity — per capita income is less than \$1 per day

# Business- Influence of price on take up



Experiments conducted by Poverty Action Lab to assess the effect of price on use of health products (moskito nets, water disinfectant, soap, deworming medicine)

J-PAL (2011): Bulletin

→ Small fees cause big reduction in take up

“Sanitation and water facilities and services **must be available for use at a price that is affordable to all people**. The provision of services includes construction, maintenance of facilities, treatment of water and disposal of faecal matter. Paying for these services **must not limit people’s capacity to acquire other basic goods** and services guaranteed by human rights, such as food, housing, health services and education.”

Independent human rights expert

## What means affordable?

Optimal affordability: overall water cost less than 3% of the household budget

Intermediate affordability: overall water cost less than 5% of the household budget

Minimal affordability: overall water cost less than 7% of the household budget

## Payment schemes

- Direct purchase (particularly of fast moving goods such as chlorine)
- Payment in installments
- Payment through credits (microcredits)
- Partial of full subsidy

## **Business - Promoting household water treatment**

- Safe water has low priority
- Low willingness to pay for products  
→ low-cost products → difficulty to establish viable businesses
- Lacking supply chains and difficult access to products and replacement parts
- Need to create demand and establish consistent water treatment
- Behavior change is a long term process - much effort required to establish new habits

# Elements of sustainable safe water consumption **eawag** aquatic research 000

## Technology

Drinking water treatment

- Community level
- Household level

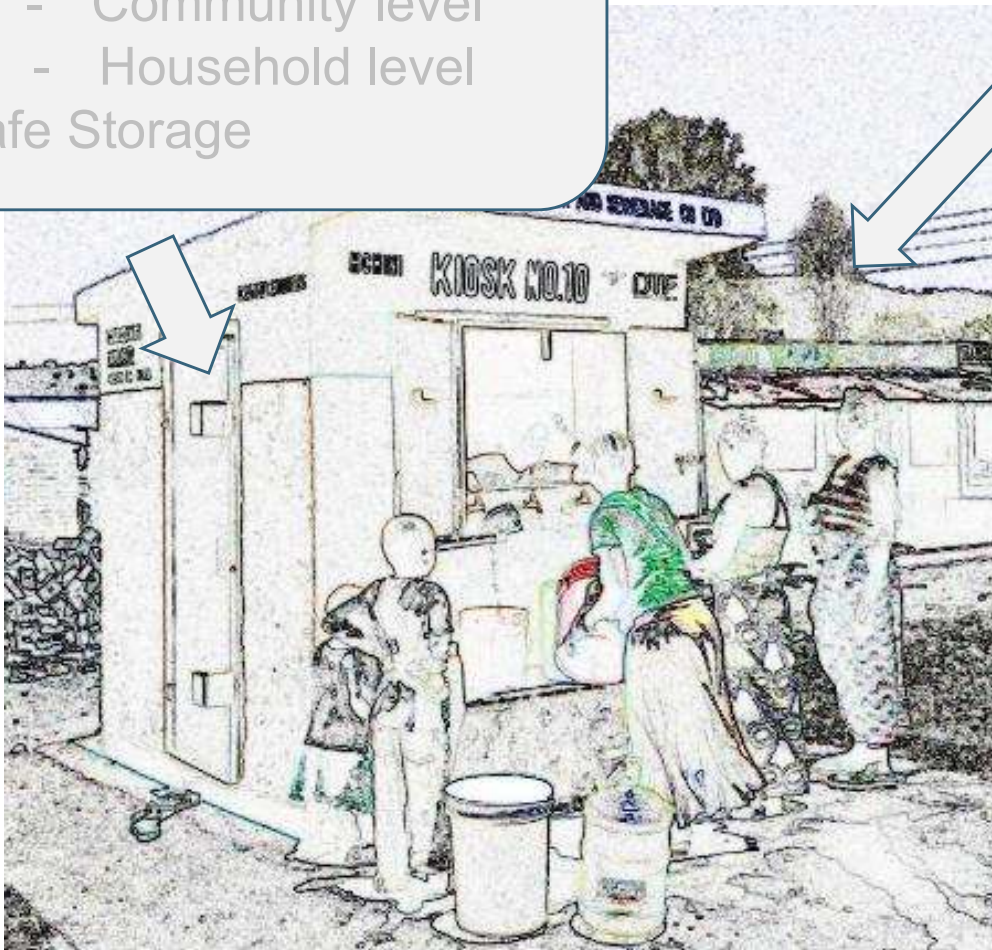
Safe Storage

## Finances

- Business management
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- Supply chains

## Behaviour

- Demand
- Know-how & capacity
- Consistent consumption



# Behavior - Effective & Consistent use

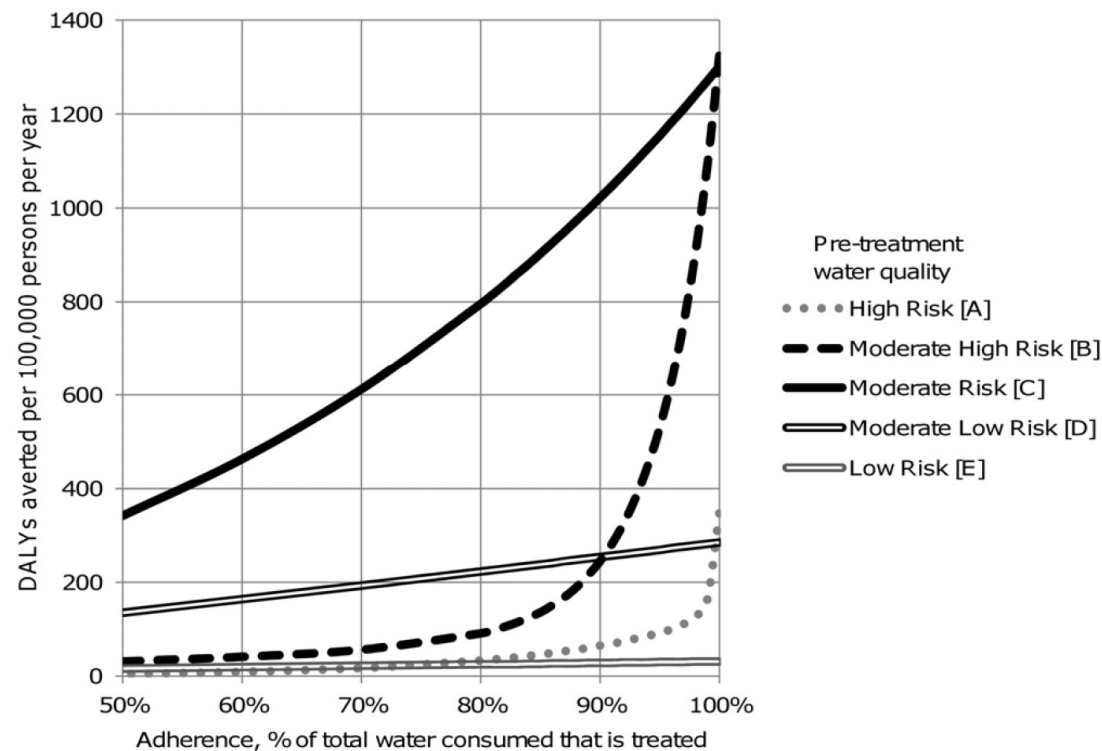
What is required to realize health improvements?

An effective option for water treatment

*Efficacy: how well a method works under controlled conditions*

*Effectiveness: how well it works in the real world*

**Consistent use of the method/ consumption of clean water**



DALYs averted per 100'000 persons per year, assuming:

- 2 log reduction in each pathogen class,
- Different raw water qualities
- Different levels of consistent consumption

Source: Brown J., Clasen T., 2012: High Adherence Is Necessary to Realize Health Gains from Water Quality Interventions, PLoS One



What will others say?

How to manage it?

What does it cost/bring?

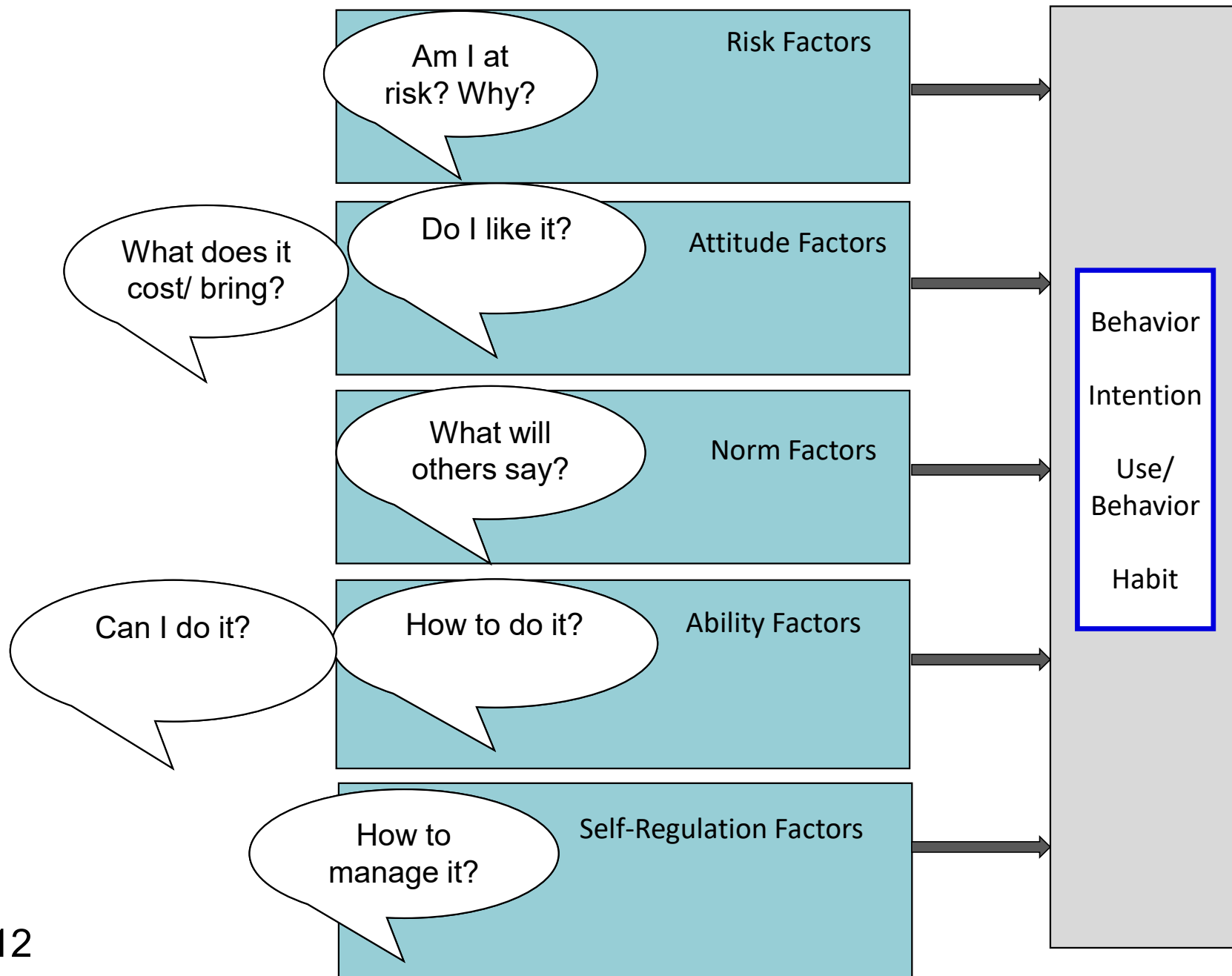
**eawag**  
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Am I at risk? Why?

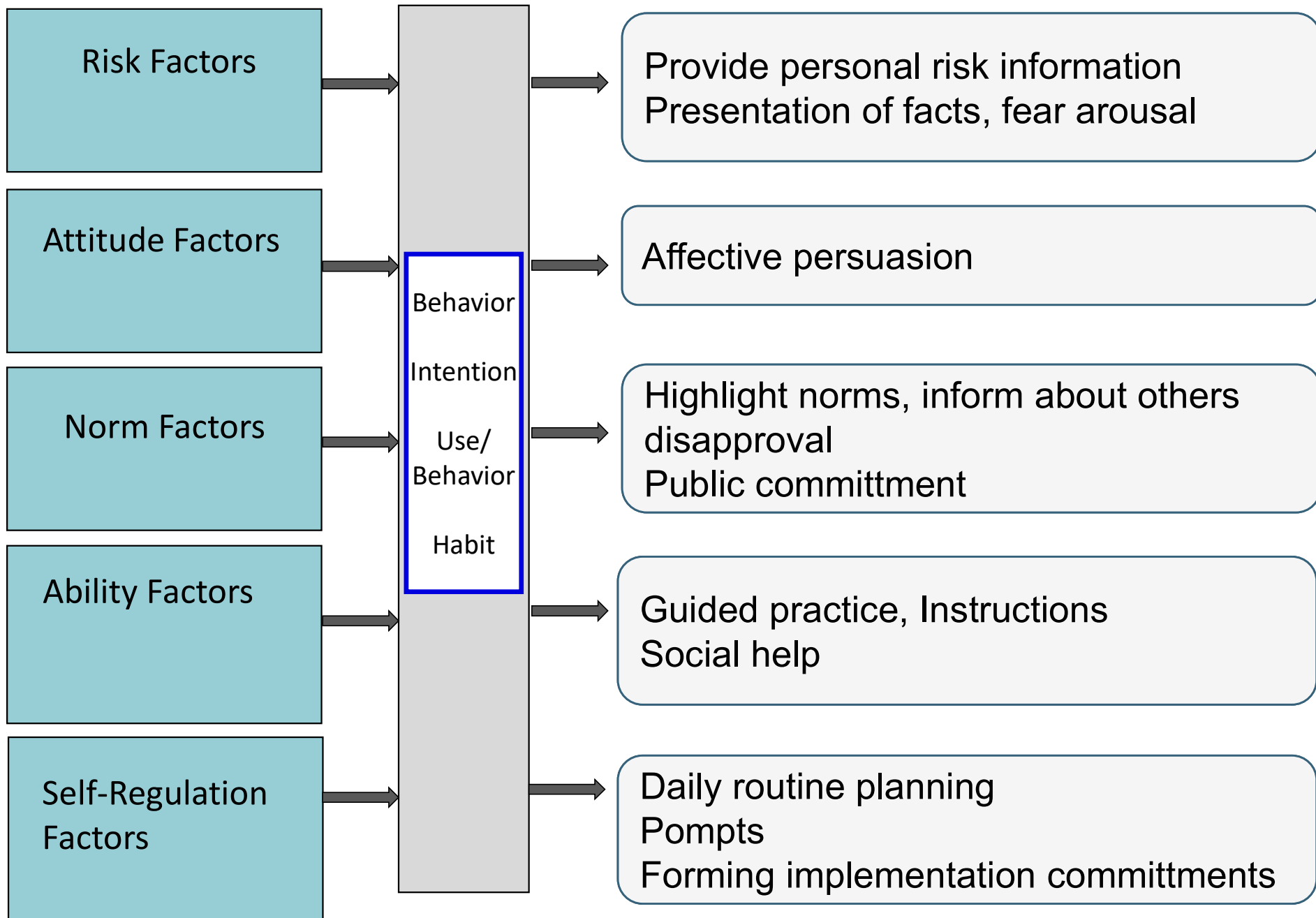
Do I like it?

Can I do it?

# Behavior - Psychological factors for behavior



# Behavior - behavior change



# Influence factors... on behavior

situation

campaigns

beliefs

**external;  
unchangeable**

**external;  
changeable**

**internal;  
changeable**

Individual: age, gender,  
education, economic  
status

Community: water  
source, urbanization,

Communication channels

- Mass Media  
(many people reached)
- Interpersonal channels
  - household visits
  - trainings  
(less people more effective)

Implementing organization

Campaign content

Risk beliefs

Attitudinal beliefs

Normative beliefs

Ability/ control  
beliefs

Self-Regulation

# Summary

- **Access to safe drinking water is a UN-recognized human right**
- **Safe drinking water must be available, accessible, of safe quality, acceptable and affordable**
- **To ensure sustainable access to safe drinking water, there are 3 aspects we work on : technology, business, behavior.**
- **Several water treatment technology exist with each pros and cons. They must be careful chosen to be context-specific and best address the issues of the situation**
- **Carefully determined business models are essential to ensure the sustainability of a solution. Challenges include low willingness to pay, creating demand and creating ownership.**
- **Behavior change is often necessary and challenging to achieve. Campaigns can act on a number of different factors to effectively enable change.**